

## HIGH ENERGY SOLUTIONS TO $p(x)$ -LAPLACIAN EQUATIONS OF SCHRÖDINGER TYPE

XIAOYAN WANG, JINGHUA YAO, DUCHAO LIU

ABSTRACT. In this article, we study nonlinear Schrödinger type equations in  $\mathbb{R}^N$  under the framework of variable exponent spaces. We proposed new assumptions on the nonlinear term to yield bounded Palais-Smale sequences and then prove that the special sequences we found converge to critical points respectively. The main arguments are based on the geometry supplied by Fountain Theorem. Consequently, we showed that the equation under investigation admits a sequence of weak solutions with high energies.

### 1. INTRODUCTION

In recent years, there has been increasing interests in nonlinear partial differential equations with nonstandard variable growth. In this article, inspired by Fan [15, 16] and Jeanjean [29], we study the following nonlinear Schrödinger type equation on the whole space  $\mathbb{R}^N$ :

$$\begin{aligned} -\operatorname{div}(|Du|^{p(x)-2}Du) + V(x)|u|^{p(x)-2}u &= f(x, u), x \in \mathbb{R}^N, \\ u &\in W^{1,p(x)}(\mathbb{R}^N), \end{aligned} \tag{1.1}$$

where  $\operatorname{div}(|Du|^{p(x)-2}Du)$  is called the  $p(x)$ -Laplacian and  $V(x)$  satisfies the following condition.

(V1)  $V(x) \in C(\mathbb{R}^N, \mathbb{R})$ ,  $\inf_{x \in \mathbb{R}^N} V(x) \geq V_0 > 0$  where  $V_0$  is a constant, and for every constant  $M > 0$ , the Lebesgue measure of the set  $\{x \in \mathbb{R}^N; V(x) \leq M\}$  is finite.

The equations involving the  $p(x)$ -Laplacian (also called  $p(x)$ -Laplacian equations) arise in the modeling of electrorheological fluids (see [2, 7, 40] and [36]) and image restorations among many other problems in physics and engineering. A number of classical equations, for example the classical fluid equations, are also studied in this general framework (see the new monograph [9] and the references therein). Different from the Laplacian  $\Delta := \sum_j \partial_j^2$  (linear and homogeneous) and the  $p$ -Laplacian  $\Delta_p u(x) := \operatorname{div}(|Du|^{p-2}Du)$  (nonlinear but homogeneous) where  $0 < p < \infty$  is a positive number, the  $p(x)$ -Laplacian is nonlinear and nonhomogeneous. Consequently, the problems involving  $p(x)$ -Laplacian are usually much

---

2000 *Mathematics Subject Classification.* 34D05, 35J20, 35J70.

*Key words and phrases.*  $p(x)$ -Laplacian; variable exponent Sobolev space; critical point; fountain theorem, Palais-Smale condition.

©2015 Texas State University - San Marcos.

Submitted October 13, 2013. Published May 15, 2015.

harder than those involving Laplacian or  $p$ -Laplacian from this point of view. Besides the applications we mentioned at the beginning of this paragraph, the  $p(x)$ -Laplacian equations can be regarded as a nonlinear and nonhomogeneous mathematical generalization of the stationary Schrödinger equation  $\mathcal{H}u(x) = 0$  where the Hamiltonian is usually given by  $\mathcal{H} := -\frac{\hbar^2}{2m}\Delta + V(x)$ . For these connections and potential further generalizations, see [4, 6, 41].

To proceed, we recall the definitions of variable exponent spaces in order to describe our problem precisely.

Let  $\Omega$  be an open domain in  $\mathbb{R}^N$  and denote:

$$C_+(\Omega) := \{p(x) \in C(\Omega) : 1 < p^- := \inf_{x \in \Omega} p(x) \leq p^+ := \sup_{x \in \Omega} p(x) < \infty\}.$$

For  $p(x) \in C_+(\Omega)$ , we consider the set:

$$L^{p(x)}(\Omega) = \{u : u \text{ is real-valued measurable function, } \int_{\Omega} |u|^{p(x)} dx < \infty\}.$$

We introduce a norm on  $L^{p(x)}(\Omega)$  by

$$\|u\|_{p(x), \Omega} := \inf\{k > 0 : \int_{\Omega} \left|\frac{u}{k}\right|^{p(x)} dx \leq 1\},$$

and  $(L^{p(x)}(\Omega), \|\cdot\|_{p(x), \Omega})$  is a Banach Space and we call it a variable exponent Lebesgue space.

Consequently,  $W^{1,p(x)}(\Omega)$  is defined by

$$W^{1,p(x)}(\Omega) = \{u \in L^{p(x)}; |Du| \in L^{p(x)}(\Omega)\}$$

with the norm

$$\|u\|_{p(x), \Omega} = \inf\{k > 0; \int_{\Omega} \left|\frac{Du}{k}\right|^{p(x)} + \left|\frac{u}{k}\right|^{p(x)} dx \leq 1\}.$$

Then  $(W^{1,p(x)}\Omega, \|\cdot\|_{p(x), \Omega})$  also becomes a Banach space and we call it a variable exponent Sobolev space.

For any function  $V(x)$  satisfying condition (V1), let

$$E := \{u \in W^{1,p(x)}(\mathbb{R}^N); \int_{\mathbb{R}^N} V(x)|u|^{p(x)} dx < \infty\}.$$

Then  $E$  is a Banach space with the following norm

$$\|u\| = \inf\{k > 0; \int_{\mathbb{R}^N} \left|\frac{Du}{k}\right|^{p(x)} + V(x)\left|\frac{u}{k}\right|^{p(x)} dx \leq 1\}.$$

Of course, our working space is  $E$ . Under proper assumptions, we shall show that (1.1) has a sequence of high energy solutions  $\{u_n\}$  in  $E$  in this paper (Theorem 2.2).

In the previous two decades, there have been many studies on variable exponent spaces; ssee [1, 2, 7, 10, 11], [12]-[23], [30], [40], [48]-[50]). These kinds of spaces are extensions of the usual Lebesgue and Sobolev spaces  $L^p(\Omega)$  and  $W^{m,p}(\Omega)$  where  $1 \leq p < \infty$  is a constant. They are special Orlicz spaces (see [26]). A lot of mathematical work has been done under the framework of the variable exponent spaces (see [1, 5, 14, 36, 38, 45]). Meanwhile, a number of typical and interesting problems have come into light (see [5, 8, 13, 18, 23, 27, 28, 37, 38, 42]). For example, local conditions on the exponent  $p(x)$  can assure the multiplicity of solutions to  $p(x)$ -Laplacian equation; see [45].

There is no doubt that there are mainly two characteristics when we work with variable exponent spaces. On the one hand, these spaces are more complicated than the usual spaces [3, 11, 20, 30]. As a result, the related problems are more difficult. On the other hand, we will obtain more general results if we work under the framework of the variable exponent spaces because these spaces are natural generalizations of the usual Sobolev and Lebesgue spaces.

Fan [15] considered a constrained minimization problem involving  $p(x)$ -Laplacian in  $\mathbb{R}^N$ . Under periodic assumptions, the author could elaborately deal with this unbounded problem by concentration-compactness principle of Lions [31, 32, 33, 34]. In a following paper, Fan [16] considered  $p(x)$ -Laplacian equations in  $\mathbb{R}^N$  with periodic data and non-periodic perturbations. Under proper conditions, the author was able to show the existence of solutions and gave a concise description of the ground state solutions. It is worth noting that the periodicity assumptions are essential for the validity of concentration-compactness principle under the framework of variable exponent spaces (see the recent paper of Bonder and coworkers [24, 25] for the concentration-compactness theory in the variable exponent space framework involving critical exponents). In our paper, we also consider an unbounded problem. However, under condition (V1), we could get some compact embedding theorems. In fact, other tricks can be used to recover some kinds of compactness. For example, weight function method was used in [12]. In [46], we considered a combined effect of the symmetry of the space and the coerciveness of potential  $V(x)$ .

We also want to mention the celebrated paper of Jeanjean [29]. In this paper, the author illustrated a completely new idea to guarantee bounded (PS) sequences for a given  $C^1$  functional. Roughly speaking, we could consider a family of functionals which contains the original one we are interested in. When given additional structure assumptions, almost all the functional in the family have bounded (PS) sequences if the family of functionals enjoy specific geometry properties. In fact, the information of relevant functionals in the family can provide useful information for the original functional. Under our conditions (see Section 2), we could show that the functional we consider satisfies the fountain geometry. Then following Jeanjean's idea and [51, Theorem 3.6], we could show that equation (1.1) has a sequence of high energy solutions. We want to emphasize that our condition (C4) is somewhat mild and is first used in dealing with  $p(x)$ -Laplacian equations. In addition, we do not need the usual Ambrosetti-Rabinowitz type condition here.

For the reader's convenience, we recall some basic properties of the variable exponent spaces and nonlinear functionals defined on these spaces in the following part of this section.

**Proposition 1.1** ([20, 21]).  *$L^{p(x)}(\Omega), W^{1,p(x)}(\Omega)$  are both separable, reflexive and uniformly convex Banach Spaces.*

**Proposition 1.2** ([20, 21]). *Let  $\rho(u) = \int_{\Omega} |u(x)|^{p(x)} dx$  for  $u \in L^{p(x)}(\Omega)$ , then we have*

- (1)  $|u|_{p(x),\Omega} = 1 \Leftrightarrow \rho(u) = 1$ ;
- (2)  $|u|_{p(x),\Omega} \leq 1 \Rightarrow |u|_{p(x),\Omega}^{p^+} \leq \rho(u) \leq |u|_{p(x),\Omega}^{p^-}$ ;
- (3)  $|u|_{p(x),\Omega} \geq 1 \Rightarrow |u|_{p(x),\Omega}^{p^-} \leq \rho(u) \leq |u|_{p(x),\Omega}^{p^+}$ ;
- (4) For  $u_n \in L^{p(x)}(\Omega)$ ,  $\rho(u_n) \rightarrow 0 \Leftrightarrow |u_n|_{p(x),\Omega} \rightarrow 0$  as  $n \rightarrow \infty$ ;
- (5) For  $u_n \in L^{p(x)}(\Omega)$ ,  $\rho(u_n) \rightarrow \infty \Leftrightarrow |u_n|_{p(x),\Omega} \rightarrow \infty$  as  $n \rightarrow \infty$ .

**Proposition 1.3** ([20, 21, 39]). *Let  $\rho(u) = \int_{\Omega} |Du(x)|^{p(x)} + |u(x)|^{p(x)} dx$  for  $u \in W^{1,p(x)}(\Omega)$ . Then we have*

- (1)  $\|u\|_{p(x),\Omega} = 1 \Leftrightarrow \rho(u) = 1$ ;
- (2)  $\|u\|_{p(x),\Omega} \leq 1 \Rightarrow \|u\|_{p(x),\Omega}^{p^+} \leq \rho(u) \leq \|u\|_{p(x),\Omega}^{p^-}$ ;
- (3)  $\|u\|_{p(x),\Omega} \geq 1 \Rightarrow \|u\|_{p(x),\Omega}^{p^-} \leq \rho(u) \leq \|u\|_{p(x),\Omega}^{p^+}$ ;
- (4) For  $u_n \in W^{1,p(x)}(\Omega)$ ,  $\rho(u_n) \rightarrow 0 \Leftrightarrow \|u_n\|_{p(x),\Omega} \rightarrow 0$  as  $n \rightarrow \infty$ ;
- (5) For  $u_n \in W^{1,p(x)}(\Omega)$ ,  $\rho(u_n) \rightarrow \infty \Leftrightarrow \|u_n\|_{p(x),\Omega} \rightarrow \infty$  as  $n \rightarrow \infty$ .

The following property can be easily verified:

**Proposition 1.4.** *For  $u \in E$ , let  $\rho(u) = \int_{\mathbb{R}^N} |Du(x)|^{p(x)} + V(x)|u(x)|^{p(x)} dx$ . Then we have the following relations:*

- (1)  $\|u\| = 1 \Leftrightarrow \rho(u) = 1$ ;
- (2)  $\|u\| \leq 1 \Rightarrow \|u\|^{p^+} \leq \rho(u) \leq \|u\|^{p^-}$ ;
- (3)  $\|u\| \geq 1 \Rightarrow \|u\|^{p^-} \leq \rho(u) \leq \|u\|^{p^+}$ .

From the above-mentioned properties, we can see that the norm and the integral functionals (i.e., the  $\rho(u)$ 's) don't enjoy the equality relation, which is typical in variable exponent spaces and very different from the constant exponent case.

**Notation.** For  $p(x) \in C_+(\Omega)$ ,  $p^*(x)$  refers to the critical exponent of  $p(x)$  in the sense of Sobolev embedding, i.e.,  $p^*(x) = \frac{Np(x)}{N-p(x)}$  if  $p(x) < N$ ;  $p^*(x) = \infty$ , otherwise. For two continuous functions  $a(x)$  and  $b(x)$  in  $C(\Omega)$ ,  $a(x) \ll b(x)$  means that  $\inf_{x \in \Omega} (b(x) - a(x)) > 0$ . We will use the symbols " $\rightarrow$ ", " $\leftarrow$ " to represent weak convergence and strong convergence in a Banach space respectively. And " $\hookrightarrow$ ", " $\hookleftarrow$ " will be used to denote continuous embedding and compact embedding between spaces respectively. We use  $C$  to denote a generic positive constant which may be different from line to line.

**Proposition 1.5** ([20, 21, 45]). (1) *Let  $\Omega$  be a bounded domain in  $\mathbb{R}^N$ . Assume that the boundary  $\partial\Omega$  possesses cone property and  $q(x) \in C(\bar{\Omega}, \mathbb{R})$  with  $1 \leq q(x) \ll p^*(x)$ , then  $W^{1,p(x)}(\Omega) \hookrightarrow L^{q(x)}(\Omega)$*   
 (2)  *$W^{1,p(x)}(\mathbb{R}^N) \hookrightarrow L^{q(x)}(\mathbb{R}^N)$  if  $p^+ < N$  and  $q(x) \in C_+(\mathbb{R}^N)$  satisfies  $p(x) \leq q(x) \ll p^*(x)$ .*

Following the spirit of [21], we have the following proposition.

**Proposition 1.6.** *For  $u \in E$ , we define*

$$I(u) = \int_{\mathbb{R}^N} \frac{1}{p(x)} (|Du|^{p(x)} + V(x)|u|^{p(x)}) dx,$$

*then  $I \in C^1(E, \mathbb{R})$  and the derivative operator  $L$  of  $I$  is*

$$\langle L(u), v \rangle = \int_{\mathbb{R}^N} (|Du|^{p(x)-2} Du \cdot Dv + V(x)|u|^{p(x)-2} uv) dx, \quad \forall u, v \in E,$$

*and we have:*

- (1)  $L : E \rightarrow E^*$  (the dual space of  $E$ ) is a continuous, bounded and strictly monotone operator;
- (2)  $L$  is a mapping of type  $(S_+)$ , i.e. if  $u_n \rightharpoonup u$  in  $E$  and  $\limsup_{n \rightarrow \infty} \langle L(u_n) - L(u), u_n - u \rangle \leq 0$ , then  $u_n \rightarrow u$  in  $E$ ;
- (3)  $L : E \rightarrow E^*$  is a homeomorphism.

**Proposition 1.7** ([20, 21, 45]). *Let  $\Omega$  be a bounded domain in  $\mathbb{R}^N$ . If  $f(x, t)$  is a Carathéodory function and satisfies*

$$|f(x, t)| \leq a(x) + b|t|^{\frac{p_1(x)}{p_2(x)}}, \quad \text{quad} \forall x \in \overline{\Omega}, t \in \mathbb{R}^1$$

where  $p_1(x), p_2(x) \in C_+(\Omega)$ ,  $b \geq 0$  is a constant,  $0 \leq a(x) \in L^{p_2(x)}(\Omega)$ , then the superposition operator  $S$  from  $L^{p_1(x)}(\Omega)$  to  $L^{p_2(x)}(\Omega)$  defined by  $(Su)(x) = f(x, u(x))$  is a continuous and bounded operator. Moreover, if  $\Omega$  is unbounded (e.g.,  $\Omega = \mathbb{R}^N$ ) and  $a(x) \equiv 0$ , the same conclusion is true.

In the variable Lebesgue space case, Hölder type inequality still holds.

**Proposition 1.8** ([17]). *Let  $\Omega$  be a domain in  $\mathbb{R}^N$  (either bounded or unbounded) and  $u \in L^{p(x)}(\Omega)$ ,  $v \in L^{p'(x)}(\Omega)$  where  $p'(x) := \frac{p(x)}{p(x)-1}$  is the conjugate exponent of  $p(x) \in C_+(\Omega)$ . Then the following Hölder type inequality holds*

$$\int_{\Omega} |uv| dx \leq \left( \frac{1}{p^-} + \frac{1}{p'^-} \right) |u|_{p(x), \Omega} |v|_{p'(x), \Omega}.$$

We will use this inequality in the following sections .

This article is divided into three sections. For the readers' convenience, we have recalled some basic properties of the variable exponent spaces  $W^{1,p(x)}(\Omega)$ ,  $L^{p(x)}(\Omega)$  in this section. In Section 2, we will state our assumptions on the nonlinear term and our main result. Meanwhile, we shall prove some useful auxiliary results in this section. In our opinion, these results are interesting and important when we study variable exponent problems. In Sections 3, we are devoted to proving the main result.

## 2. MAIN RESULT

In this section, we first specify our assumptions on the nonlinear term  $f$ . Then some comments about these assumptions will be given. Finally, we state the main result.

We use the following assumptions:

(C1)  $f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$  satisfies

$$\begin{aligned} |f(x, t)| &\leq C(|t|^{p(x)-1} + |t|^{q(x)-1}), \quad \forall t \in \mathbb{R}, x \in \mathbb{R}^N, \\ f(x, t)t &\geq 0, \quad \text{for } t \geq 0, x \in \mathbb{R}^N, \\ p(x) &\leq q(x) \ll p^*(x), \quad \forall x \in \mathbb{R}^N. \end{aligned}$$

(C2) There exists a constant  $\mu > p^+$  such that

$$\liminf_{|t| \rightarrow \infty} \frac{f(x, t)t}{|t|^\mu} \geq C_0 \quad \text{uniformly for } x \in \mathbb{R}^N.$$

where  $C_0$  is a positive constant.

(C3)  $\limsup_{|t| \rightarrow 0} \frac{f(x, t)t}{|t|^{p^+}} = 0$ , uniformly for  $x \in \mathbb{R}^N$ .

(C4) Let  $F(x, t) = \int_0^t f(x, s)ds$  and  $G, H$  be defined as

$$G(x, t) := f(x, t)t - p^- F(x, t), \quad H(x, t) := f(x, t)t - p^+ F(x, t).$$

We assume  $G$  and  $H$  satisfy the monotonicity condition: there exist two positive constants  $D_1$  and  $D_2$  such that

$$G(x, t) \leq D_1 G(x, s) \leq D_2 H(x, s), \quad \text{for } 0 \leq t \leq s.$$

$$(C5) \quad f(x, -t) = -f(x, t), \quad \forall t \in \mathbb{R}, x \in \mathbb{R}^N.$$

**Definition 2.1.** We say  $u \in E$  is a weak solution to the equation (1.1) if for any  $v \in E$ ,

$$\int_{\mathbb{R}^N} |Du|^{p(x)-2} Du Dv + V(x)|u|^{p(x)-2} uv \, dx = \int_{\mathbb{R}^N} f(x, u)v \, dx.$$

Define a functional  $\Phi$  from  $E$  to  $\mathbb{R}$  by

$$\Phi(u) = \int_{\mathbb{R}^N} \frac{1}{p(x)} (|Du|^{p(x)} + V(x)|u|^{p(x)}) \, dx - \int_{\mathbb{R}^N} F(x, u) \, dx.$$

Under our assumptions, we know that the functional is  $C^1$  (Proposition 1.6, Lemma 2.7 below) and for  $v \in E$ ,

$$\Phi'(u)v = \int_{\mathbb{R}^N} |Du|^{p(x)-2} Du Dv + V(x)|u|^{p(x)-2} uv \, dx - \int_{\mathbb{R}^N} f(x, u)v \, dx.$$

So the critical points of the functional  $\Phi$  are corresponding to the weak solutions of the equation (1.1).

Now we are in a position to comment and analyze the assumptions proposed above.

1. Conditions (C1)-(C4) are compatible. We shall give two examples to demonstrate this claim. Let  $f(x, t) = |t|^{q(x)-2}t$  with  $q(x) \in C_+(\mathbb{R}^N)$  satisfying  $q(x) \ll p^*(x)$ ,  $q^- > p^+$ . Obviously, (C1), (C2), (C3), (C5) hold. In order to verify (C4), we know that  $F(x, t) = \frac{|t|^{q(x)}}{q(x)}$ ,  $f(x, t)t = |t|^{q(x)}$ . Consequently,  $G(x, t) = (1 - \frac{p^-}{q(x)})|t|^{q(x)}$ ,  $H(x, t) = (1 - \frac{p^+}{q(x)})|t|^{q(x)}$ . It is easy to verify that  $G(x, t)$  is non-decreasing in  $t \geq 0$ . Therefore,  $G(x, t) \leq G(x, s)$  if  $0 \leq t \leq s$ . In view of  $G, H \geq 0$ , we know that

$$\frac{G(x, s)}{H(x, s)} = \frac{q(x) - p^-}{q(x) - p^+} \leq \frac{q^+ - p^-}{q^- - p^+}.$$

Choosing  $D_2 = \frac{q^+ - p^-}{q^- - p^+}$ , we obtain  $G(x, s) \leq D_2 H(x, s)$  when  $s \geq 0$ . Therefore, (C4) holds.

Next, we illustrate another example. Let  $f(x, t) = |t|^{q(x)-2}t \ln^a(|t| + 1)$  where  $q(x)$  satisfies  $q(x) \ll p^*(x)$ ,  $q^- > p^+$  and  $\epsilon > a > 0$  is a real number. In view of the following two relations:

$$\begin{aligned} \lim_{|t| \rightarrow \infty} \frac{\ln^a(|t| + 1)}{|t|^\epsilon} &= 0 \quad \forall a \geq 0, \epsilon > 0; \\ \lim_{|t| \rightarrow 0} \frac{\ln^a(|t| + 1)}{|t|^\epsilon} &= \infty \quad \forall a \geq 0, \epsilon > 0. \end{aligned}$$

we can verify (C4) similarly. Obviously, (C1), (C2), (C3), (C5) hold.

From the two examples we gave, we know that there are many functions which satisfy our assumptions. As a result, our main result is quite general.

2. Condition (C1) means that  $f(x, t)$  is subcritical in the variable sense. Different from things in constant case (i.e.  $p^+ = p^-$ ), here we need  $q(x) \ll p^*(x)$ .

3. Condition (C4) is crucial for our proof. It is because of this condition that we could obtain bounded Palais-Smale sequence (bounded (PS) sequences for short). We impose this condition on  $f$  other than the famous Ambrosetti-Rabinowitz type condition. However, we could still get bounded (PS) sequences via an indirect method. Lots of authors have tried to weaken the Ambrosetti-Rabinowitz type

condition and they can only get weak type (PS) sequences (usually the Cerami Condition). It is known that (C5) is much weaker than the Ambrosetti-Rabinowitz type condition in the constant exponent case ( $p^+ = p^-$ ) (see [26]).

4. Condition (C5) assures that the functional  $\Phi$  we defined before is an even functional. So the condition is necessary for us to take advantage of the fountain geometry.

In this article, we always assume condition (V1) holds and  $p^+ < N$ . Hence, we know  $E \hookrightarrow W^{1,p(x)}(\mathbb{R}^N)$ . Consequently,  $E \hookrightarrow L^{p(x)}(\mathbb{R}^N), E \hookrightarrow L^{q(x)}(\mathbb{R}^N)$  if  $q(x) \in C_+(\mathbb{R}^N)$  satisfies  $p(x) \leq q(x) \ll p^*(x)$ .

Now we can state our main result clearly.

**Theorem 2.2.** *Under conditions (V1), (C1)–(C5), equation (1.1) has a sequence of solutions  $\{u_n\}$ . Moreover, these solutions have high energies; i.e.,  $\Phi(u_n) \rightarrow \infty$  as  $n \rightarrow \infty$ .*

To make the exposition more concise, we give some auxiliary results some of which are very useful.

**Lemma 2.3.** *Let  $\Omega$  be a nonempty domain in  $\mathbb{R}^N$  which can be bounded or unbounded. We also allow  $\Omega = \mathbb{R}^N$ . Then*

$$L^{p(x)}(\Omega) \cap L^{q(x)}(\Omega) \subset L^{a(x)}(\Omega)$$

if  $p(x), q(x), a(x) \in C_+(\Omega)$  and  $p(x) \leq a(x) \leq q(x)$ . Moreover, if  $p(x) \ll a(x) \ll q(x)$ , the following interpolation inequality holds for  $u \in L^{p(x)}(\Omega) \cap L^{q(x)}(\Omega)$ :

$$\int_{\Omega} |u|^{a(x)} dx \leq 2 \| |u|^{a_1(x)} \|_{m(x), \Omega} \| |u|^{a_2(x)} \|_{m'(x), \Omega}, \quad (2.1)$$

where

$$\begin{aligned} a_1(x) &= \frac{p(x)(q(x) - a(x))}{q(x) - p(x)}, & a_2(x) &= \frac{q(x)(a(x) - p(x))}{q(x) - p(x)}; \\ m(x) &= \frac{q(x) - p(x)}{q(x) - a(x)}, & m'(x) &= \frac{q(x) - p(x)}{a(x) - p(x)}. \end{aligned}$$

*Sketch of the proof.* For  $L^{p(x)}(\Omega) \cap L^{q(x)}(\Omega)$ , we have

$$\int_{\Omega} |u|^{p(x)} dx < \infty, \quad \int_{\Omega} |u|^{q(x)} dx < \infty.$$

Obviously,  $|u(x)|^{a(x)} \leq |u(x)|^{p(x)} + |u(x)|^{q(x)}$  for  $x \in \Omega$ . Hence,  $\int_{\Omega} |u|^{a(x)} \leq \int_{\Omega} |u|^{p(x)} dx + \int_{\Omega} |u|^{q(x)} dx < \infty$ , which means  $u \in L^{a(x)}(\Omega)$ . For the interpolation inequality, the readers can see [20].  $\square$

**Lemma 2.4.** *Under condition (V1),  $E \hookrightarrow L^{p(x)}(\mathbb{R}^N)$ .*

*Proof.* We know that  $E \hookrightarrow L^{p(x)}(\mathbb{R}^N)$ . Next, we assume  $u_n \rightarrow 0$  in  $E$ . We need to show  $u_n \rightarrow 0$  in  $L^{p(x)}(\mathbb{R}^N)$  to complete the proof. By Proposition 1.2, it suffices to verify that  $\int_{\mathbb{R}^N} |u_n|^{p(x)} dx \rightarrow 0$  as  $n \rightarrow \infty$ . For any given  $R > 0$ , we write

$$\begin{aligned} I(n) &:= \int_{\mathbb{R}^N} |u_n|^{p(x)} dx \\ &= \int_{B(0,R)} |u_n|^{p(x)} dx + \int_{\mathbb{R}^N \setminus B(0,R)} |u_n|^{p(x)} dx := I_1(n) + I_2(n). \end{aligned}$$

Since  $E \hookrightarrow W^{1,p(x)}(\mathbb{R}^N)$  and  $W^{1,p(x)}(B(0,R)) \hookrightarrow L^{p(x)}(B(0,R))$ , it follows that  $I_1(n) \rightarrow 0$  as  $n \rightarrow \infty$ .

For any constant  $M > 0$ , Let  $A = \{x \in \mathbb{R}^N \setminus B(0,R); V(x) > M\}$  and  $B = \{x \in \mathbb{R}^N \setminus B(0,R); V(x) \leq M\}$ . Then we have

$$\int_A |u_n|^{p(x)} dx \leq \int_A \frac{V(x)}{M} |u_n|^{p(x)} dx \leq \frac{1}{M} \int_{\mathbb{R}^N} V(x) |u_n|^{p(x)} dx \leq \frac{C}{M}.$$

Since for the constant  $M > 0$ ,  $\text{mes}\{x \in \mathbb{R}^N; V(x) \leq M\}$  is finite, we can choose  $R > 0$  large enough such that  $\text{meas}\{x \in \mathbb{R}^N \setminus B(0,R); V(x) \leq M\} \rightarrow 0$ . Consequently,  $\int_B |u_n|^{p(x)} dx \rightarrow 0$ .

Now Let  $M \rightarrow \infty$  and  $R \rightarrow \infty$ , we have  $I(n) \rightarrow 0$  as  $n \rightarrow \infty$ .  $\square$

**Lemma 2.5.** *Under condition (V1),  $E \hookrightarrow L^{a(x)}(\mathbb{R}^N)$  if  $a(x) \in C_+(\mathbb{R}^N)$  and  $p(x) \leq a(x) \ll p^*(x)$ .*

*Proof.* Let  $u_n \rightarrow 0$  in  $E$ . We need to show  $u_n \rightarrow 0$  in  $L^{a(x)}(\mathbb{R}^N)$  to complete the proof.

First, we assume that  $p(x) \ll a(x) \ll p^*(x)$ . We can choose  $q(x) \in C_+(\mathbb{R}^N)$  such that  $a(x) \ll q(x) \ll p^*(x)$ . It is obvious that  $E \hookrightarrow L^{q(x)}(\mathbb{R}^N)$ . In view of  $p(x) \ll a(x) \ll q(x)$ , we use Lemma 2.3 with  $\Omega = \mathbb{R}^N$  and obtain

$$\int_{\Omega} |u_n|^{a(x)} dx \leq 2 \| |u_n|^{a_1(x)} \|_{m(x),\Omega} \| |u_n|^{a_2(x)} \|_{m'(x),\Omega}, \quad (2.2)$$

where the symbols are the same as those of Lemma 2.3.

Let  $\lambda_n := \| |u_n|^{a_1(x)} \|_{m(x),\Omega}$  and  $\mu_n := \| |u_n|^{a_2(x)} \|_{m'(x),\Omega}$ . By Proposition 1.2, we have

$$\begin{aligned} \int_{\mathbb{R}^N} \left| \frac{|u_n|^{a_1(x)}}{\lambda_n} \right|^{m(x)} dx &= \int_{\mathbb{R}^N} \frac{|u_n|^{p(x)}}{\lambda_n^{m(x)}} dx = 1; \\ \int_{\mathbb{R}^N} \left| \frac{|u_n|^{a_2(x)}}{\mu_n} \right|^{m'(x)} dx &= \int_{\mathbb{R}^N} \frac{|u_n|^{q(x)}}{\mu_n^{m'(x)}} dx = 1. \end{aligned}$$

From the two equalities above and Lemma 2.4, we know

$$\begin{aligned} \min\{\lambda_n^{m^+}, \lambda_n^{m^-}\} &\leq \int_{\mathbb{R}^N} |u_n|^{p(x)} dx \rightarrow 0, \\ \min\{\mu_n^{m'^+}, \mu_n^{m'^-}\} &\leq \int_{\mathbb{R}^N} |u_n|^{q(x)} dx \leq C. \end{aligned}$$

We have  $\lambda_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $0 \leq \mu_n \leq C$ . So (2.2) yields  $\int_{\mathbb{R}^N} |u_n|^{a(x)} dx \rightarrow 0$  as  $n \rightarrow \infty$ .

Next, we assume  $p(x) \leq a(x) \ll p^*(x)$ . We can choose  $q(x) \in C_+(\mathbb{R}^N)$  such that  $a(x) \ll q(x) \ll p^*(x)$ . By the arguments above, we have

$$\int_{\mathbb{R}^N} |u_n|^{q(x)} dx \rightarrow 0.$$

By Lemma 2.3 and Lemma 2.4, we have

$$\int_{\mathbb{R}^N} |u_n|^{a(x)} dx \leq \int_{\mathbb{R}^N} |u_n|^{p(x)} dx + \int_{\mathbb{R}^N} |u_n|^{q(x)} dx \rightarrow 0.$$

$\square$

The following lemma can be considered as an extension of the result in [44, Appendix A].

**Lemma 2.6.** *Assume  $1 \leq p_1(x), p_2(x), q_1(x), q_2(x) \in C(\Omega)$ . Let  $f(x, t)$  be a Carathéodory function on  $\Omega \times \mathbb{R}$  and satisfy*

$$|f(x, t)| \leq a|t|^{\frac{p_1(x)}{q_1(x)}} + b|t|^{\frac{p_2(x)}{q_2(x)}}, \quad (x, t) \in \Omega \times \mathbb{R},$$

where  $a, b > 0$  and  $\Omega$  is either bounded or unbounded. Define a Carathéodory operator by

$$Bu := f(x, u(x)), \quad u \in \mathcal{H} := L^{p_1(x)}(\Omega) \cap L^{p_2(x)}(\Omega)$$

Define the space  $\mathcal{E} := L^{q_1(x)}(\Omega) + L^{q_2(x)}(\Omega)$  with the norm

$$\|u\|_{\mathcal{E}} = \inf\{|v|_{q_1(x), \Omega} + |w|_{q_2(x), \Omega} : u = v + w, v \in L^{q_1(x)}(\Omega), w \in L^{q_2(x)}(\Omega)\}.$$

If  $\frac{p_1(x)}{q_1(x)} \leq \frac{p_2(x)}{q_2(x)}$  for  $x \in \Omega$ , then  $B = B_1 + B_2$ , where  $B_i$  is a bounded and continuous mapping from  $L^{p_i(x)}(\Omega)$  to  $L^{q_i(x)}(\Omega)$ ,  $i = 1, 2$ . In particular,  $B$  is a bounded continuous mapping from  $\mathcal{H}$  to  $\mathcal{E}$ .

*Proof.* Let  $\psi : \mathbb{R} \rightarrow [0, 1]$  be a smooth function such that  $\psi(t) = 1$  for  $t \in (-1, 1)$ ;  $\psi(t) = 0$  for  $t \notin (-2, 2)$ . Let

$$g(x, t) = \psi(t)f(x, t), h(x, t) = (1 - \psi(t))f(x, t).$$

Because  $\frac{p_1(x)}{q_1(x)} \leq \frac{p_2(x)}{q_2(x)}$  for  $x \in \Omega$ , there are two constants  $d > 0, m > 0$  such that

$$|g(x, t)| \leq d|t|^{\frac{p_1(x)}{q_1(x)}}, |h(x, t)| \leq m|t|^{\frac{p_2(x)}{q_2(x)}}.$$

Define

$$B_1u = g(x, u), u \in L^{p_1(x)}(\Omega), B_2u = h(x, u), u \in L^{p_2(x)}(\Omega).$$

Then by Proposition 1.7,  $B_i$  is a bounded and continuous mapping from  $L^{p_i(x)}(\Omega)$  to  $L^{q_i(x)}(\Omega)$ ,  $i = 1, 2$ . It is readily to see that  $B := B_1 + B_2$  is a bounded continuous mapping from  $\mathcal{H}$  to  $\mathcal{E}$ . □

From Lemmas 2.4 and 2.5, we know that the condition (V1) plays an important role. It enables  $E$  to be compactly embedded into  $L^{p(x)}(\mathbb{R}^N)$  type spaces. Using Lemmas 2.5 and 2.6, we can prove the following result.

**Lemma 2.7.** *Under assumptions (V1), (C1), the functional  $J(u) = \int_{\mathbb{R}^N} F(x, u) dx$  on  $E$  is a  $C^1$  functional. Moreover,  $J'$  is compact.*

*Proof.* The verification that  $J$  is a  $C^1$  functional is routine and we omit it here. We only show that  $J'$  is compact. Because  $E \hookrightarrow L^{p(x)}(\mathbb{R}^N)$  (Lemma 2.4) and  $E \hookrightarrow L^{q(x)}(\mathbb{R}^N)$  (Lemma 2.5), any bounded sequence  $\{u_k\}$  in  $E$  has a renamed subsequence still denoted by  $\{u_k\}$  which converges to  $u_0$  in  $L^{p(x)}(\mathbb{R}^N)$  and  $L^{q(x)}(\mathbb{R}^N)$ . Using Lemma 2.6 with  $p_1(x) = p(x)$ ,  $q_1(x) = \frac{p(x)}{p(x)-1}$ ,  $p_2(x) = q(x)$ ,  $q_2(x) = \frac{q(x)}{q(x)-1}$  and  $\Omega = \mathbb{R}^N$ , we have  $J'(u)v = \int_{\mathbb{R}^N} (B_1u + B_2u)v dx$  for  $v \in E$ . Hence,  $B_1(u_k) \rightarrow B_1(u_0)$  in  $L^{q_1(x)}(\Omega)$  and  $B_2(u_k) \rightarrow B_2(u_0)$  in  $L^{q_2(x)}(\Omega)$ . Then Hölder type inequality (Proposition 1.8) and Sobolev embedding (Lemma 2.5) assure  $J'(u_k) \rightarrow J'(u_0)$  in  $E^*$ , i.e.,  $J'$  is compact. □

For convenience, we give the definition of  $(PS)_c$  sequence for  $c \in \mathbb{R}$ .

**Definition 2.8.** Let  $\Pi$  be a  $C^1$  functional defined on a real Banach space  $X$ . Any sequence  $\{u_n\}$  satisfying  $\Pi(u_n) \rightarrow c$  and  $\Pi'(u_n) \rightarrow 0$  is called a  $(PS)_c$  sequence. In addition, we call  $c$  here a prospective critical level of  $\Pi$ .

**Remark 2.9** (See [17]). Under the assumptions of Theorem 2.2, we have the following comments.  $\Phi(u) = I(u) + J(u)$  and  $\Phi'(u) = I'(u) + J'(u)$  for  $u \in E$ . Since  $I'$  is of type  $(S_+)$  (Proposition 1.6) and  $J'$  is a compact (Lemma 2.7), we can easily derive that  $\Phi'$  is of type  $(S_+)$ . It is well-known that any bounded  $(PS)_c$  sequence of a functional whose Fréchet derivative is of type  $(S_+)$  in a reflexive Banach space has a convergent subsequence and so does  $\Phi$  here.

### 3. PROOF OF THEOREM 2.2

We state the Fountain Theorem, before presenting the proof of the main result. Let  $X$  be a Banach space with the norm  $\|\cdot\|$  and let  $\{X_j\}$  be a sequence of subspaces of  $X$  with  $\dim X_j < \infty$  for each  $j \in \mathbb{N}$ . Further,  $X = \overline{\bigoplus_{j=1}^{\infty} X_j}$ ,  $W_k := \bigoplus_{j=1}^k X_j$ ,  $Z_k := \overline{\bigoplus_{j=k}^{\infty} X_j}$ . Moreover, for  $k \in \mathbb{N}$  and  $\rho_k > r_k > 0$ , we denote:

$$B_k = \{u \in W_k : \|u\| \leq \rho_k\}; \quad S_k = \{u \in Z_k : \|u\| = r_k\};$$

$$c_k := \inf_{\gamma \in \Gamma_k} \max_{u \in B_k} \Phi(\gamma(u)), \quad \text{where}$$

$$\Gamma_k := \{\gamma \in C(B_k, X) : \gamma \text{ is odd and } \gamma|_{\partial B_k} = id\}.$$

**Theorem 3.1** (Fountain Theorem, Bartsch 1992 [34]). *Under the aforementioned assumptions, let  $\Phi \in C^1(X, \mathbb{R})$  be an even functional. If for  $k > 0$  large enough, there exists  $\rho_k > r_k > 0$  such that*

$$a_k := \max\{\Phi(u) : u \in W_k, \|u\| = \rho_k\} \leq 0, \quad (3.1)$$

$$b_k := \inf\{\Phi(u) : u \in Z_k, \|u\| = r_k\} \rightarrow \infty \quad \text{as } k \rightarrow \infty. \quad (3.2)$$

then  $\Phi$  has a  $(PS)_{c_k}$  sequence for each prospective critical value  $c_k$  and  $c_k \rightarrow \infty$  as  $k \rightarrow \infty$ .

**Definition 3.2.** Let  $X$  be a Banach space,  $\Phi \in C^1(X, \mathbb{R})$  and  $c \in \mathbb{R}$ . The functional  $\Phi$  satisfies the  $(PS)_c$  condition if any sequence  $\{u_k\} \subset X$  such that

$$\Phi(u_n) \rightarrow c, \quad \Phi'(u_n) \rightarrow 0 \quad (3.3)$$

has a convergent subsequence.

**Remark 3.3.** In fact, if the following condition holds

(C)  $\Phi$  satisfies the  $(PS)_c$  condition for every  $c > 0$ ,

the sequence  $\{c_k\}$  in Theorem 3.1 is a sequence of unbounded critical values of  $\Phi$ . However, the condition (C) is not necessary to guarantee that  $c_k$  is a critical level. We just need  $(PS)_{c_k}$  condition.

To use the decomposition technique, we need a theorem on the structure of a reflexive and separable Banach space.

**Lemma 3.4** ([47, Section 17]). *Let  $X$  be a reflexive and separable Banach space, then there are  $\{e_n\}_{n=1}^{\infty} \subset X$  and  $\{f_n\}_{n=1}^{\infty} \subset X^*$  such that*

$$f_n(e_m) = \delta_{n,m} = \begin{cases} 1, & \text{if } n = m \\ 0, & \text{if } n \neq m \end{cases},$$

$$X = \overline{\text{span}}\{e_n : n = 1, 2, \dots\}, \quad X^* = \overline{\text{span}}^{W^*}\{f_n : n = 1, 2, \dots\}.$$

For  $k = 1, 2, \dots$ , and  $X = E$ , we choose

$$X_j = \text{span}\{e_j\}, W_k = \oplus_{j=1}^k X_j, Z_k = \overline{\oplus_{j=k}^\infty X_j}.$$

In the following, we shall identify the Banach space  $E$  and the functional  $\Phi$  as those we consider. Next, we will prove the main result step by step. First, we give a useful lemma. For simplicity, we write  $|u|_{p(x), \mathbb{R}^N}$  as  $|u|_{p(x)}$  when  $\Omega = \mathbb{R}^N$  for  $p(x) \in C_+(\mathbb{R}^N)$ .

**Lemma 3.5.** *Let  $q(x) \in C_+(\mathbb{R}^N)$  with  $p(x) \leq q(x) \ll p^*(x)$  and denote*

$$\alpha_k = \sup\{|u|_{q(x)} : \|u\| = 1, u \in Z_k\}, \tag{3.4}$$

then  $\alpha_k \rightarrow 0$  as  $k \rightarrow \infty$ .

*Proof.* Obviously,  $\alpha_k$  is decreasing as  $k \rightarrow \infty$ . Noting that  $\alpha_k \geq 0$ , we may assume that  $\alpha_k \rightarrow \alpha \geq 0$ . For every  $k > 0$ , there exists  $u_k \in Z_k$  such that  $\|u_k\| = 1$  and  $|u_k|_{q(x)} > \frac{\alpha k}{2}$ . By definition of  $Z_k$ ,  $u_k \rightarrow 0$  in  $E$ . Then Lemma 2.5 implies that  $u_k \rightarrow 0$  in  $L^{q(x)}(\mathbb{R}^N)$ . Thus we have proved that  $\alpha = 0$ .  $\square$

Using lemma 3.5, we can prove the following Lemma.

**Lemma 3.6.** *Under the assumptions of Theorem 3.1, the geometry conditions of the Fountain Theorem hold, i.e. (3.1) and (3.2) hold.*

*Proof.* By (C2) and (C3), for any  $\epsilon > 0$ , there exists a  $C(\epsilon) > 0$  such that

$$f(x, u)u \geq C(\epsilon)|u|^\mu - \epsilon|u|^{p^+}.$$

In view of (C5), we have a constant, still denoted by  $C(\epsilon)$ , such that

$$F(x, u) \geq C(\epsilon)|u|^\mu - \epsilon|u|^{p^+}.$$

When  $\|u\| > 1$ , we have

$$\begin{aligned} \Phi(u) &= \int_{\mathbb{R}^N} \frac{1}{p(x)} (|Du|^{p(x)} + V(x)|u|^{p(x)}) dx - \int_{\mathbb{R}^N} F(x, u) dx \\ &\leq \frac{1}{p^-} \|u\|^{p^+} - C(\epsilon) \int_{\mathbb{R}^N} |u|^\mu dx + \epsilon \int_{\mathbb{R}^N} |u|^{p^+} dx. \end{aligned} \tag{3.5}$$

Let  $u \in W_k$ , since  $\dim(W_k) < \infty$ , all norms on  $W_k$  are equivalent. Hence  $\Phi(u) \leq C\|u\|^{p^+} - C\|u\|^\mu$ . Because  $\mu > p^+$ , we can choose  $\rho_k > 0$  large enough such that  $\Phi(u) \leq 0$  when  $\|u\| = \rho_k$ . We have shown that (3.1) holds.

To verify (3.2), we can still let  $\|u\| > 1$  without loss of generality. By (C1) and (C3), for any  $\epsilon > 0$ , there exists a  $C = C(\epsilon) > 0$  such that

$$|F(x, u)| \leq \epsilon|u|^{p^+} + C|u|^{q(x)},$$

So

$$\begin{aligned} \Phi(u) &= \int_{\mathbb{R}^N} \frac{1}{p(x)} (|Du|^{p(x)} + V(x)|u|^{p(x)}) dx - \int_{\mathbb{R}^N} F(x, u) dx \\ &\geq \frac{1}{p^+} \|u\|^{p^-} - \epsilon|u|_{p^+}^{p^+} - C \max\{|u|_{q(x)}^-, |u|_{q(x)}^+\}. \end{aligned} \tag{3.6}$$

Let  $u \in Z_k$  with  $\|u\| = r_k > 0$ . We can choose uniformly an  $\epsilon > 0$  small enough such that  $\epsilon|u|_{p^+}^{p^+} \leq \frac{1}{2p^+} \|u\|^{p^-}$ . Hence

$$\Phi(u) \geq \frac{1}{2p^+} \|u\|^{p^-} - C \max\{|u|_{q(x)}^-, |u|_{q(x)}^+\}.$$

If  $\max\{|u|_{q(x)}^{q^-}, |u|_{q(x)}^{q^+}\} = |u|_{q(x)}^{q^-}$ , we choose  $r_k = (2q^- C \alpha_k^{q^-})^{\frac{1}{p^- - q^-}}$  and get that

$$\begin{aligned} \Phi(u) &\geq \frac{1}{2p^+} \|u\|^{p^-} - C |u|_{q(x)}^{q^-} \geq \frac{1}{2p^+} - C \alpha_k^{p^-} \|u\|^{q^-} \\ &\geq \left(\frac{1}{2p^+} - \frac{1}{2q^-}\right) r_k^{p^-}. \end{aligned} \tag{3.7}$$

Since  $q^- > p^+$  and  $\alpha_k \rightarrow 0$ , we obtain  $b_k \rightarrow \infty$ .

If  $\max\{|u|_{q(x)}^{q^-}, |u|_{q(x)}^{q^+}\} = |u|_{q(x)}^{q^+}$ , we can similarly derive that  $b_k \rightarrow \infty$ . Hence we have shown (3.2) holds.  $\square$

By far, we have shown that the geometry conditions of the Fountain Theorem hold. In fact, in order to use the Fountain Theorem to get our main result, we do not need to verify the functional  $\Phi$  satisfies the  $(PS)_c$  condition for every  $c > 0$ . It suffices if we could find a special  $(PS)$  sequence for each  $c_k$  and verify the sequence we find has a convergence subsequence. Of course, the first step is to show that the  $(PS)_{c_k}$  sequence is bounded. Because there is no Ambrosetti-Rabinowits type condition, we couldn't give a direct proof. Following the ideas in Jeanjean [29] and Zou [51], we consider  $\Phi$  as a member in a family of functional. We will show almost all the functional in the family have bounded  $(PS)$  sequences. The following result (Theorem 3.7) due to Zou and Schechter [51] is crucial for this purpose.

Let the notions be the same as in Theorem 3.1. Consider a family of real  $C^1$  functional  $\Phi_\lambda$  of the form:  $\Phi_\lambda(u) := I(u) - \lambda J(u)$ , where  $\lambda \in \Lambda$  and  $\Lambda$  is a compact interval in  $[0, \infty)$ . We make the following assumptions:

- (A1)  $\Phi_\lambda$  maps bounded sets into bounded sets uniformly for  $\lambda \in \Lambda$ . Moreover,  $\Phi_\lambda(-u) = \Phi_\lambda(u)$  for all  $(\lambda, u) \in \Lambda \times X$ .
- (A2)  $J(u) \geq 0$  for all  $u \in E$ ;  $I(u) \rightarrow \infty$  or  $J(u) \rightarrow \infty$  as  $\|u\| \rightarrow \infty$ .

Let

$$a_k(\lambda) := \max\{\Phi_\lambda(u) : u \in W_k, \|u\| = \rho_k\}, \tag{3.8}$$

$$b_k(\lambda) := \inf\{\Phi_\lambda(u) : u \in Z_k, \|u\| = r_k\}. \tag{3.9}$$

Define

$$c_k(\lambda) = \inf_{\gamma \in \Gamma_k} \max_{u \in B_k} \Phi_\lambda(\gamma(u)),$$

$$\Gamma_k := \{\gamma \in C(B_k, X) : \gamma \text{ is odd and } \gamma|_{\partial B_k} = id\}.$$

**Theorem 3.7.** *Assume that (A1) and (A2) hold. If  $b_k(\lambda) > a_k(\lambda)$  for all  $\lambda \in \Lambda$ , then  $c_k(\lambda) \geq b_k(\lambda)$  for all  $\lambda \in \Lambda$ . Moreover, for almost every  $\lambda \in \Lambda$ , there exists a sequence of  $\{u_n^k(\lambda)\}_{n=1}^\infty$  such that  $\sup_n \|u_n^k(\lambda)\| < \infty$ ,  $\Phi'_\lambda(u_n^k(\lambda)) \rightarrow 0$  and  $\Phi_\lambda(u_n^k(\lambda)) \rightarrow c_k(\lambda)$  as  $n \rightarrow \infty$ .*

Next, we let  $I(u) = \int_{\mathbb{R}^N} \frac{1}{p(x)} (|Du|^{p(x)} + V(x)|u|^{p(x)}) dx$ ,  $J(u) = \int_{\mathbb{R}^N} F(x, u) dx$  for  $u \in E$  and  $\Lambda = [1, 2]$ . Under these terminologies,  $\Phi(u) = \Phi_1(u)$ . Under the assumptions of Theorem 3.1. It is easy to see that (A1) and (A2) hold.

**Lemma 3.8.** *Under the assumptions of Theorem 3.1,  $b_k(\lambda) > a_k(\lambda)$  for all  $\lambda \in [1, 2]$  when  $k$  is large enough.*

*Sketch of the proof.* Let  $\rho_k > r_k > 0$  large enough. Using same reasoning, we can show that  $a_k(\lambda) \leq 0$  and  $b_k(\lambda) \rightarrow \infty$  uniformly for  $\lambda \in [1, 2]$  as  $k \rightarrow \infty$ . Hence,

we have shown the Lemma. Moreover,  $c_k(\lambda) \leq \sup_{u \in B_k} \Phi_\lambda(u) \leq \sup_{u \in B_k} \Phi(u) = \max_{u \in B_k} \Phi_1(u) = \max_{u \in B_k} \Phi(u) := \bar{c}_k < \infty$ .  $\square$

**Remark 3.9.** Since  $\Phi'_\lambda(u)$  is of type  $(S_+)$  (Remark 2.9), we know that any bounded  $(PS)_{c(\lambda)}$  sequence of  $\Phi_\lambda$  has a convergent subsequence which converges to a critical point of  $\Phi_\lambda$  with critical level  $c(\lambda)$ .

Now, applying Theorem 3.7, we obtain that for almost every  $\lambda \in [1, 2]$ , there exists a sequence of  $\{u_n^k(\lambda)\}_{n=1}^\infty$  such that  $\sup_n \|u_n^k(\lambda)\| < \infty$ ,  $\Phi'_\lambda(u_n^k(\lambda)) \rightarrow 0$  and  $\Phi_\lambda(u_n^k(\lambda)) \rightarrow c_k(\lambda)$  as  $n \rightarrow \infty$ . Denote the set of these  $\lambda$  by  $\Lambda_0$ . If  $1 \in \Lambda_0$ , we have found bounded  $(PS)_{c_k}$  sequence for the functional  $\Phi$ .

If  $1 \notin \Lambda_0$ , we can choose a sequence  $\{\lambda_n\} \subset \Lambda_0$  such that  $\lambda_n \rightarrow 1$  decreasingly. In view of Note 3.9, for each  $\lambda \in \Lambda_0$ , the bounded  $(PS)_{c_k(\lambda)}$  sequence has a convergent subsequence. We denote the limit by  $u^k(\lambda)$ . Accordingly,  $u^k(\lambda)$  is the critical point of the functional  $\Phi_\lambda$  with critical level  $c_k(\lambda)$ . Next, we are going to show the sequence  $\{u^k(\lambda_n)\}_{n=1}^\infty$  is a bounded  $(PS)_{c_k}$  sequence of  $\Phi$ . For simplicity, we write  $\{u^k(\lambda_n)\}$  as  $\{u(\lambda_n)\}$ .

In fact, we only need to show  $\{u(\lambda_n)\}$  is bounded. Indeed, if  $\{u(\lambda)\}$  is bounded, we have

$$\begin{aligned} \Phi(u(\lambda_n)) &= \Phi_{\lambda_n}(u(\lambda_n)) + (1 - \lambda_n)J(u(\lambda_n)) \rightarrow c_k, \\ \Phi'(u(\lambda_n)) &= \Phi'_{\lambda_n}(u(\lambda_n)) + (1 - \lambda_n)J'(u(\lambda_n)) \rightarrow 0. \end{aligned}$$

We have used the fact that  $\Phi_\lambda, J$  map bounded sets into bounded sets under the assumptions of Theorem 2.2.

**Lemma 3.10.** *Under the assumption of Theorem 2.2, the sequence  $\{u(\lambda_n)\}$  is bounded.*

*Proof.* By contradiction. We assume  $\|u(\lambda_n)\| \rightarrow \infty$  and consider  $w_n = \frac{u(\lambda_n)}{\|u(\lambda_n)\|}$ . Then up to a subsequence, we get that  $w_n \rightharpoonup w$  in  $E$ ,  $w_n \rightarrow w$  in  $L^{q(x)}(\mathbb{R}^N)$  for  $p(x) \leq q(x) \ll p^*(x)$ ,  $w_n \rightarrow w$  a.e. in  $\mathbb{R}^N$ .

We first consider the case  $w \neq 0$  in  $E$ . Since  $\Phi'_{\lambda_n}(u(\lambda_n)) = 0$ , we have

$$\int_{\mathbb{R}^N} |Du(\lambda_n)|^{p(x)} + V(x)|u(\lambda_n)|^{p(x)} dx = \lambda_n \int_{\mathbb{R}^N} f(x, u(\lambda_n))u(\lambda_n) dx.$$

Assume  $\|u(\lambda_n)\| > 1$ . Dividing both sides by  $\|u(\lambda_n)\|^{p^+}$ , we get

$$\int_{\mathbb{R}^N} \frac{f(x, u(\lambda_n))u(\lambda_n)}{\|u(\lambda_n)\|^{p^+}} dx \leq \frac{1}{\lambda_n} \leq 1.$$

Further, by Fatou's Lemma and (C2), we have

$$\int_{\mathbb{R}^N} \frac{f(x, u(\lambda_n))u(\lambda_n)}{\|u(\lambda_n)\|^{p^+}} dx = \int_{\mathbb{R}^N} \frac{f(x, u(\lambda_n))u(\lambda_n)|w_n(x)|^{p^+}}{\|u_n(x)\|^{p^+}} dx \rightarrow \infty,$$

a contradiction.

For the case  $w = 0$  in  $E$ , we define  $\Phi_{\lambda_n}(t_n u(\lambda_n)) = \max_{t \in [0, 1]} \Phi_{\lambda_n}(t u(\lambda_n))$ . Then for any  $C > 1$ ,  $\bar{w}_n := \frac{C u(\lambda_n)}{\|u(\lambda_n)\|}$  and  $n$  large enough, we have

$$\begin{aligned} &\Phi_{\lambda_n}(t_n u(\lambda_n)) \\ &\geq \Phi_{\lambda_n}(\bar{w}_n) \end{aligned}$$

$$\begin{aligned}
&= \int_{\mathbb{R}^N} \frac{1}{p(x)} (|CDw_n|^{p(x)} + V(x)|Cw_n|^{p(x)}) dx - \lambda_n \int_{\mathbb{R}^N} F(x, Cw_n) dx \\
&\geq \frac{1}{p^+} C^{p^-} - \lambda_n \int_{\mathbb{R}^N} F(x, Cw_n) dx.
\end{aligned}$$

Since  $w_n \rightarrow 0$  a.e. in  $\mathbb{R}^N$  and  $\lambda_n \in [1, 2]$ , we have  $\lambda_n \int_{\mathbb{R}^N} F(x, Cw_n) dx \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $C$  is arbitrary, we have  $\Phi_{\lambda_n}(t_n u(\lambda_n)) \rightarrow \infty$  as  $n \rightarrow \infty$ . Consequently, we know  $t_n \in (0, 1)$  when  $n$  is large enough, which implies  $\Phi'_{\lambda_n}(t_n u(\lambda_n)) t_n u(\lambda_n) = 0$ . Thus,

$$\Phi_{\lambda_n}(t_n u(\lambda_n)) - \frac{1}{p^-} \Phi'_{\lambda_n}(t_n u(\lambda_n)) t_n u(\lambda_n) \rightarrow \infty,$$

which implies

$$\begin{aligned}
&\int_{\mathbb{R}^N} \left( \frac{1}{p(x)} - \frac{1}{p^-} \right) (|t_n Du(\lambda_n)|^{p(x)} + V(x)|t_n u(\lambda_n)|^{p(x)}) dx \\
&+ \lambda_n \int_{\mathbb{R}^N} \frac{1}{p^-} f(x, t_n u(\lambda_n)) t_n u(\lambda_n) - F(x, t_n u(\lambda_n)) dx \rightarrow \infty.
\end{aligned}$$

So

$$\int_{\mathbb{R}^N} \frac{1}{p^-} f(x, t_n u(\lambda_n)) t_n u(\lambda_n) - F(x, t_n u(\lambda_n)) dx \rightarrow \infty.$$

However,

$$\begin{aligned}
\Phi_{\lambda_n}(u(\lambda_n)) &= \Phi_{\lambda_n}(u(\lambda_n)) - \frac{1}{p^+} \Phi'_{\lambda_n}(u(\lambda_n)) u(\lambda_n) \\
&= \int_{\mathbb{R}^N} \left( \frac{1}{p(x)} - \frac{1}{p^+} \right) (|Du(\lambda_n)|^{p(x)} + V(x)|u(\lambda_n)|^{p(x)}) dx \\
&+ \lambda_n \int_{\mathbb{R}^N} \frac{1}{p^+} f(x, u(\lambda_n)) u(\lambda_n) - F(x, u(\lambda_n)) dx \\
&\geq \lambda_n \int_{\mathbb{R}^N} \frac{1}{p^+} f(x, u(\lambda_n)) u(\lambda_n) - F(x, u(\lambda_n)) dx.
\end{aligned}$$

In view of (C4), there exist two positive constants  $C_1$  and  $C_2$  such that

$$\begin{aligned}
\Phi_{\lambda_n}(u(\lambda_n)) &\geq \lambda_n \int_{\mathbb{R}^N} \frac{1}{p^+} f(x, u(\lambda_n)) u(\lambda_n) - F(x, u(\lambda_n)) dx \\
&\geq \lambda_n C_1 \int_{\mathbb{R}^N} \frac{1}{p^-} f(x, u(\lambda_n)) u(\lambda_n) - F(x, u(\lambda_n)) dx \\
&\geq \lambda_n C_1 C_2 \int_{\mathbb{R}^N} \frac{1}{p^-} f(x, t_n u(\lambda_n)) t_n u(\lambda_n) - F(x, t_n u(\lambda_n)) dx \\
&\geq C \int_{\mathbb{R}^N} \frac{1}{p^-} f(x, t_n u(\lambda_n)) t_n u(\lambda_n) - F(x, t_n u(\lambda_n)) dx \rightarrow \infty.
\end{aligned}$$

However, for each  $k$  large enough,  $\Phi_{\lambda_n}(u(\lambda_n)) = c_k(\lambda_n) \leq \bar{c}_k < \infty$  (See Lemma 3.8), a contradiction.  $\square$

*Proof of Theorem 2.2.* Whether  $1 \in \Lambda_0$  or not, we have found a special bounded  $(PS)_{c_k}$  sequence  $\{u^k(\lambda_n)\}_{n=1}^\infty$  for each  $c_k$  in the Fountain Theorem when  $k$  is large enough. In view of Remark 3.9, we know  $\{u^k(\lambda_n)\}_{n=1}^\infty$  has a convergent subsequence and  $c_k$  is indeed an critical level of  $\Phi$  and Theorem 2.2 follows.  $\square$

We end this paper with the following brief comments on our argument structure. We prove Theorem 2.2 in such a way to emphasize the procedure of finding critical points. First, we consider the original functional and verify the functional satisfies some geometry properties (e.g. Mountain Pass Geometry in [29], Fountain geometry in this paper, general linking geometry, etc) to ensure prospective critical levels. Then, we consider our functional as a member in a family of functionals. Some given structure conditions on the family will yield bounded (PS) sequences for almost all the functionals. Using the information supplied by these functionals, we could find special bounded (PS) sequences for those prospective critical levels. At last, we prove that the special (PS) sequences we found converge to critical points respectively up to subsequences.

## REFERENCES

- [1] C. O. Alves, M. A. Souto; *Existence of solutions for a class of problems in  $R^N$  involving the  $p(x)$ -Laplacian*, Progress in Nonlinear Differential Equations and Their Applications, Vol. 66, Birkhauser, Basel, 2006, pp. 17-22.
- [2] E. Acerbi, G. Mingione; *Regularity results for stationary electrorheological fluids*, Arch. Ration. Mech. Anal., **164**, (2002), 213-259.
- [3] R. A. Adams; *Sobolev Spaces*, Academic Press, New York (1975).
- [4] T. Cazenave; *Semilinear Schrödinger equations*, Courant Lecture Notes 10, AMS, 2003, +323 pages.
- [5] J. Chabrowski, Y. Fu; *Existence of solutions for  $p(x)$ -Laplacian problems on bounded domains*. J. Math. Anal. Appl. **306**, (2005), 604-618.
- [6] Jim Colliander, Mark Keel, Gigliola Staffilani, Hideo Takaoka, Terry Tao; *Local and global well-posedness for non-linear dispersive and wave equations*, (<http://www.math.ucla.edu/~tao/Dispersive/>) Website maintained by Jim Colliander, Mark Keel, Gigliola Staffilani, Hideo Takaoka, and Terry Tao.
- [7] L. Diening; *Theoretical and numerical results for electrorheological fluids*, Ph. D. thesis, University of Freiburg, Germany, 2002.
- [8] L. Diening, P. Hästö, A. Nekvinda; *Open problems in variable exponent Lebesgue and Sobolev spaces*, FSDONA04 Proceedings, (P. Drabek and J. Rankonsnik(eds)), Milovy, Czech Republic, (2004), 38-58.
- [9] L. Diening, P. Harjulehto, P. Hästö, M. Ružić; *Lebesgue and Sobolev spaces with variable exponents*, Lecture Notes in Mathematics, vol. 2017, Springer-Verlag, Heidelberg, 2011.
- [10] D. E. Edmunds, J. Rákosnik; *Density of smooth functions in  $W^{k,p(x)}(\Omega)$* , Proc. R. Soc. A **437** (1992) 229-236.
- [11] D. E. Edmunds, J. Rákosnik; *Sobolev embedding with variable exponent*, Studia Math. **143** (2000) 267-293.
- [12] X. L. Fan; *Solutions for  $p(x)$ -Laplacian Dirichlet problems with singular coefficients*, J. Math. Appl. **312** (2005) 464-477.
- [13] X. L. Fan; *Some results on variable exponent analysis*, Proceedings of 5-th ISAAC Congress, University of Catania, Italy, July 25-30, 2005.
- [14] X. L. Fan; *Global  $C^{1,\alpha}$  regularity for variable exponent elliptic equations in divergence form*, J. Diff. Equ. **235** (2007) 397-417.
- [15] X. L. Fan; *A constrained minimization problem involving the  $p(x)$ -Laplacian in  $R^N$* , Nonlinear Anal. **69** (2008) 3661-3670.
- [16] X. L. Fan;  *$p(x)$ -Laplacian equations in  $R^N$  with periodic data and nonperiodic perturbations*, J. Math. Anal. Appl. **341** (2008)103-119.
- [17] X. L. Fan, S. G. Deng; *Remarks on Ricceri's variational principle and applications to the  $p(x)$ -Laplacian equations*, Nonlinear Anal. TMA, **67** (2007) 3064-3075.
- [18] X. L. Fan, D. Zhao; *Regularity of minimizers of variational integrals with continuous  $p(x)$ -growth conditions*, Chinese J. Contemp. Math. **17** (1996) 327-336.
- [19] X. L. Fan, D. Zhao; *A class of DE Giorgi type and Hölder continuity*, Nonlinear Anal. **36** (1999) 295-318.

- [20] X. L. Fan, D. Zhao; *On the spaces  $L^{p(x)}(\Omega)$  and  $W^{m,p(x)}(\Omega)$* , J. Math. Anal. Appl. **263** (2001) 424-446.
- [21] X.L. Fan, Q.H. Zhang, *Existence of solutions for  $p(x)$ -Laplacian Dirichlet problem*, Nonlinear Anal. **52** (2003) 1843-1952.
- [22] X.L. Fan, Q.H. Zhang, D. Zhao *Eigenvalues of  $p(x)$ -Laplacian Dirichlet problem*, J. Math. Anal. Appl. **255** (2001) 333-348.
- [23] X.L. Fan, Y.Z. Zhao, D. Zhao, *Compact Imbedding Theorems with Symmetry of Strauss-Lions Type for the Space  $W^{1,p(x)}(\Omega)$* , J. Math. Anal. Appl. **255** (2001) 333-348.
- [24] J. Fern'andez Bonder, N. Saintier, A. Silva; *On the Sobolev embedding theorem for variable exponent spaces in the critical range*. J. Differential Equations 253 (2012), no. 5, 1604–1620.
- [25] J. Fernández Bonder, N. Saintier, A. Silva; *Existence of solution to a critical equation with variable exponent*, to appear in Ann. Acad. Sci. Fenn. Math.
- [26] D. Geng; *Infinitely many solutions of  $p$ -Laplacian equations with limit subcritical growth*, Appl. Math. Mech. **10** (2007) 1373-1382.
- [27] A. El Hamidi; *Existence results to elliptic systems with nonstandard growth conditions*, J. Math. Anal. Appl. **300** (2004) 30-42.
- [28] P. Harjulehto, P. Hästö; *An overview of variable exponent Lebesgue and Sobolev spaces*, Future Trends in Geometric Function Theory (D. Herron(ed), RNC-Workshop, Jyvaskyla) 2003 85-93.
- [29] L. Jeanjean; *On the existence of bounded Palais-Smale sequences and applications to a Landesman-Lazer-type problem set on  $R^N$* , Proc. Roy. Soc. Edinburgh Sect. A **129** (1999), 787-809.
- [30] O. Kovacik, J. Rákosník; *On Spaces  $L^{p(x)}(\Omega)$  and  $W^{k,p(x)}(\Omega)$* , Czechoslovak Math. J. **41(106)** (1991) 592-618.
- [31] P. L. Lions; *The concentration-compactness principle in the calculus of variations. The locally compact case. I*. Ann. Inst. H. Poincaré Anal. Non Linéaire **1** (1984), no. 2, 109-145.
- [32] P.L. Lions; *The concentration-compactness principle in the calculus of variations. The locally compact case. II*. Ann. Inst. H. Poincaré Anal. Non Linéaire **1** (1984), no. 4, 223-283.
- [33] P. L. Lions; *The concentration-compactness principle in the calculus of variations. The limit case. I*. Rev. Mat. Iberoamericana **1** (1985), no. 1, 145-201.
- [34] P. L. Lions; *The concentration-compactness principle in the calculus of variations. The limit case. II*. Rev. Mat. Iberoamericana **1** (1985), no. 2, 451-21.
- [35] P. Marcellini; *Regularity and existence of solutions of elliptic equations with  $(p,q)$ -growth conditions* J. Diff. Equ. **90** (1991) 1-30.
- [36] M. Mihăilescu, V. Rădulescu; *A multiplicity result for a nonlinear degenerate problem arising in the theory of electrorheological fluids*, Proc. R. Soc. Lond. Ser. A Math. Phys. Eng. Sci. **462** (2006) 2625-2641.
- [37] M. Mihăilescu, V. Rădulescu; *Existence and multiplicity of solution for quasilinear nonhomogeneous problems: an Orlicz-Sobolev space setting*. To J. Math. Anal. Appl. (in press).
- [38] M. Mihăilescu, V. Rădulescu; *On a nonhomogeneous quasilinear eigenvalue problem in Sobolev spaces with variable exponent*, Proc. Amer. Math. Soc. **135** (2007) 2929-2937.
- [39] J. Musielak; *Orlicz spaces and modular spaces*, Lecture Notes in Mathematics, vol. **1034**, Springer, Berlin, 1983.
- [40] M. Ružička; *Electrorheological Fluids: Modeling and Mathematical Theory*, Lecture Notes in Math., Vol. **1748**, Springer-Verlag, Berlin, 2000.
- [41] J. J. Sakuri; *Modern Quantum Mechanics* (Revised Edition), Addison-Wesley Publishing Company, 1994, +503 pages.
- [42] S. Samko; *On a progress in the theory of Lebesgue spaces with variable exponent: maximal and singular operators*, Integral Transforms and Special Functions, **16** (2005) 461-482.
- [43] M. Struwe; *Variational Method (Second Edition)*, Springer, Berlin, 1996.
- [44] M. Willem; *Minimax Theorems*, Birkhauser, Basel, 1996.
- [45] J. H. Yao, X. Y. Wang; *On an open problem involving the  $p(x)$ -Laplacian: A further study on the multiplicity of weak solutions to  $p(x)$ -Laplacian equations*, Nonlinear Anal. **69** (2008) 1445-1453.
- [46] J. H. Yao, X. Y. Wang; *Compact imbeddings between variable exponent spaces with unbounded underlying domain*, Nonlinear Anal. **70** (2009) 3472-3482.
- [47] J. F. Zhao; *Structure Theory of Banach Spaces*, Wuhan Univ. Press, Wuhan, 1991.

- [48] V. V. Zhikov; *Averaging of functionals of the calculus of variations and elasticity theory*, Math. USSR. Izv, **29** (1987) 33-66.
- [49] V. V. Zhikov; *On Lavrentiev's phenomenon*, Russian J. Math. Phys. **3** (1995) 249-269.
- [50] V. V. Zhikov; *On some variational problems*, Russian J. Math. Phys. **5** (1997) 105-116.
- [51] W. M. Zou, M. Schechter; *Critical point theory and its applications*, Springer, 2006.

XIAOYAN WANG

DEPARTMENT OF MATHEMATICS, INDIANA UNIVERSITY BLOOMINGTON, IN 47405, USA  
*E-mail address:* wang264@indiana.edu

JINGHUA YAO (CORRESPONDING AUTHOR)

DEPARTMENT OF MATHEMATICS, THE UNIVERSITY OF IOWA, IOWA CITY, IA 52246, USA  
*E-mail address:* jinghua-yao@uiowa.edu

DUCHAO LIU

DEPARTMENT OF MATHEMATICS, LANZHOU UNIVERSITY, LANZHOU 730000, CHINA  
*E-mail address:* liuduchao@gmail.com, liudch@lzu.edu.cn