

## MEROMORPHIC SOLUTIONS TO COMPLEX DIFFERENCE AND $q$ -DIFFERENCE EQUATIONS OF MALMQUIST TYPE

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ABSTRACT. In this article, we study the zeros, poles and fixed points of finite order transcendental meromorphic solutions of complex difference and  $q$ -difference equations of Malmquist type respectively. Some examples are structured to show that our results are sharp.

### 1. INTRODUCTION

In this article, a meromorphic function always means it is meromorphic in the whole complex plane  $\mathbb{C}$ . We assume that the reader is familiar with the standard notations in the Nevanlinna theory. We use the following standard notations in value distribution theory (see [4, 8, 9]):

$$T(r, f), m(r, f), N(r, f), \bar{N}(r, f), \dots$$

And we denote by  $S(r, f)$  any quantity satisfying  $S(r, f) = o\{T(r, f)\}$ , as  $r \rightarrow \infty$ , possibly outside of a set  $E$  with finite linear or logarithmic measure, not necessarily the same at each occurrence. We also use the notation  $\tau(f)$  to denote the exponent of convergence of fixed points of  $f$ , namely

$$\tau(f) = \limsup_{r \rightarrow \infty} \frac{\log N(r, \frac{1}{f-z})}{\log r}.$$

The deficiency of  $a$  with respect to  $f(z)$  is defined by

$$\delta(a, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, \frac{1}{f-a})}{T(r, f)}.$$

We use  $\lambda(f)$  and  $\bar{\lambda}(f)$  to denote the exponent of convergence of zeros of  $f$  counting multiplicities and ignoring multiplicities respectively, namely

$$\lambda(f) = \limsup_{r \rightarrow \infty} \frac{\log N(r, \frac{1}{f})}{\log r}, \quad \bar{\lambda}(f) = \limsup_{r \rightarrow \infty} \frac{\log \bar{N}(r, \frac{1}{f})}{\log r}.$$

A polynomial  $Q(z, f)$  is called a differential-difference polynomial in  $f$  if  $Q$  is a polynomial in  $f$ , its derivatives and shifts with small meromorphic coefficients, say  $\{a_\lambda | \lambda \in I\}$ , such that  $T(r, a_\lambda) = S(r, f)$  for all  $\lambda \in I$ . We define the difference operator  $\Delta f = f(z+1) - f(z)$ .

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Recently, a large number of researches focusing on complex difference and  $q$ -difference equation emerged. For example, Gundersen et al [3] considered the complex  $q$ -difference equation of Malmquist type and obtained the following result.

**Theorem 1.1.** *Let  $f$  be a transcendental meromorphic solution of the  $q$ -difference equation*

$$f(qz) = R(z, f) = \frac{a_0(z) + a_1(z)f(z) + \cdots + a_p(z)f^p(z)}{b_0(z) + b_1(z)f(z) + \cdots + b_t(z)f^t(z)}, \quad (1.1)$$

where  $q \in \mathbb{C}$ ,  $|q| \geq 1$ ,  $a_p(z) \not\equiv 0$ ,  $b_t(z) \equiv 1$ , and meromorphic coefficients  $a_i(z)$  ( $i = 0, 1, \dots, p$ ) and  $b_j(z)$  ( $j = 0, 1, \dots, t - 1$ ) are of growth  $S(r, f)$ . If

$$\overline{N}(r, f) + \overline{N}\left(r, \frac{1}{f}\right) = S(r, f),$$

then (1.1) is either the form

$$f(qz) = a_p(z)f^p(z) \quad \text{or} \quad f(qz) = \frac{a_0(z)}{f^t(z)}.$$

Here we consider a  $q$ -difference equation whose form is more general than in Equation (1.1) under a condition similar to Theorem 1.1 and obtain some results as follows.

**Theorem 1.2.** *Let  $f$  be a transcendental meromorphic solution of a  $q$ -difference equation of the following form*

$$\prod_{i=1}^n f(q_i z) = R(z, f) = \frac{a_0(z) + a_1(z)f(z) + \cdots + a_p(z)f^p(z)}{b_0(z) + b_1(z)f(z) + \cdots + b_t(z)f^t(z)}, \quad (1.2)$$

where  $q_i \neq 0, 1$ ,  $i = 1, 2, \dots, n$ , and  $R(z, f)$  is an irreducible rational function in  $f$  with meromorphic coefficients  $a_i(z)$  ( $i = 0, 1, \dots, p$ ) and  $b_j(z)$  ( $j = 0, 1, \dots, t$ ) of growth  $S(r, f)$  such that  $a_p(z) \not\equiv 0$ ,  $b_t(z) \equiv 1$ . If

$$\max\{\overline{\lambda}(f), \overline{\lambda}\left(\frac{1}{f}\right)\} < \sigma(f) = \sigma \leq \infty,$$

then (1.2) is reduced to the form

$$\prod_{i=1}^n f(q_i z) = a_p(z)f^p(z) \quad \text{or} \quad \prod_{i=1}^n f(q_i z) = \frac{a_0(z)}{f^t(z)}.$$

The author in [10] considered a special complex difference equation of Malmquist type and obtained the following result.

**Theorem 1.3.** *Let  $R(z)$  be a non-constant rational function. For the difference equation*

$$f(z+1) = R \circ f(z),$$

(1) suppose it admits a non-constant rational solution  $f(z)$ , then both  $R(z)$  and  $f(z)$  are fractional linear functions;

(2) suppose it admits a transcendental meromorphic function  $f(z)$  of finite order  $\sigma(f)$ , then  $R(z)$  is a fractional linear function, and suppose that it is denoted by  $R(z) = \frac{az+b}{cz+d}$ , where  $ad - bc \neq 0$ , furthermore:

(2.1) if  $bc \neq 0$ , then  $\lambda(f) = \lambda\left(\frac{1}{f}\right) = \tau(f) = \sigma(f)$ ;

(2.2) if  $R \neq \text{id}$  and  $\sigma(f) > 0$ , then

(2.2.1)  $f(z)$  has at most one finite Borel exceptional value provided  $(d-a)^2 + 4b = 0$

when  $c \neq 0$ ;

(2.2.2) if  $f(z)$  has Borel exceptional value  $\infty$ , then  $f(z)$  has at most one finite Borel exceptional value  $\frac{b}{1-a}$ .

Here we consider a type of difference equation more general than in Theorem 1.3 and obtain some results as follows.

**Theorem 1.4.** *Suppose that  $c_1, c_2, \dots, c_n$  are distinct nonzero constants. If complex difference equation of Malmquist type*

$$\sum_{j=1}^n f(z + c_j) = R(f(z)) = \frac{P(f(z))}{Q(f(z))} = \frac{a_p f^p(z) + a_{p-1} f^{p-1}(z) + \dots + a_0}{b_q f^q(z) + b_{q-1} f^{q-1}(z) + \dots + b_0} \quad (1.3)$$

admits a transcendental meromorphic solution  $f(z)$  of finite order, where  $P(f)$  and  $Q(f)$  are relatively prime polynomials in  $f$  with constant coefficients  $a_s$  ( $s = 0, 1, \dots, p$ ) and  $b_t$  ( $t = 0, 1, \dots, q$ ) such that  $a_0 a_p b_q \neq 0$ , and

$$d = \deg R(z) = \max \{ \deg P(z), \deg Q(z) \} \geq 2,$$

then

- (1)  $f(z)$  has infinitely many zeros and satisfies  $\delta(0, f) = 0$ ;
- (2)  $f(z)$  has infinitely many fixed points and satisfies  $\tau(f) = \sigma(f)$ ;
- (3)  $f(z)$  has infinitely many poles and satisfies  $\lambda\left(\frac{1}{f}\right) = \sigma(f)$ ;
- (4)  $f(z)$  has no deficiency value  $b$  except that the value  $b$  satisfies

$$a_p b^p + a_{p-1} b^{p-1} + \dots + a_0 = nb(b_q b^q + b_{q-1} b^{q-1} + \dots + b_0).$$

**Example 1.5.** Let  $f(z) = 1/(e^{\pi iz} - 1)$ , then we see the following identical equation holds.

$$(f(z+1) + f(z+2))(2f(z)+1) = 2f^2(z),$$

which means  $f(z)$  satisfies the complex difference equation of Malmquist type

$$\sum_{j=1}^2 f(z + c_j) = R(f(z)),$$

where  $c_1 = 1, c_2 = 2$  and  $R(z) = 2z^2/(2z + 1)$ . But  $f(z) \neq 0$ .

This example shows that the assumption  $a_0 \neq 0$  is necessary for our result (1) in Theorem 1.4.

**Example 1.6.** Let  $f(z) = e^{\pi iz} + z$ , then we see the following identical equation holds.

$$f(z+2) + f(z+4) = 2f(z) + 6,$$

which means  $f(z)$  satisfies the complex difference equation of Malmquist type

$$\sum_{j=1}^2 f(z + c_j) = R(f(z)),$$

where  $c_1 = 2, c_2 = 4$  and  $R(z) = 2z + 6$ . But  $f(z) \neq z, \infty$ .

This example shows that the assumption  $\deg R(z) \geq 2$  is necessary for our results (2)-(3) in Theorem 1.4.

**Example 1.7.** Let  $f(z) = e^{\pi iz} + 1$ , then we see the following identical equation holds.

$$f\left(z - \frac{\log 2}{i\pi}\right) + f\left(z - \frac{\log 2}{i\pi} + 2\right) = f(z) + 1,$$

which means  $f(z)$  satisfies the complex difference equation of Malmquist type

$$\sum_{j=1}^2 f(z + c_j) = R(f(z)),$$

where  $c_1 = -\frac{\log 2}{i\pi}$ ,  $c_2 = 2 + c_1$  and  $R(z) = z + 1$ . But  $f(z) \neq 1, \infty$ .

This example shows that the assumption  $\deg R(z) \geq 2$  is necessary for our result (3) and the assumption  $a_p b^p + a_{p-1} b^{p-1} + \cdots + a_0 = nb(b_q b^q + b_{q-1} b^{q-1} + \cdots + b_0)$  is necessary for our result (4) in Theorem 1.4.

**Example 1.8.** Let  $f(z) = 2 + \frac{1}{e^{\pi iz} - 1}$ , then by a simple calculation, we see the following identical equation holds.

$$(f(z+2) + f(z+1))(f(z) - \frac{3}{2}) = f^2(z) - 2,$$

which means  $f(z)$  satisfies the complex difference equation of Malmquist type

$$\sum_{j=1}^2 f(z + c_j) = R(f(z)) = \frac{f^2(z) - 2}{f(z) - \frac{3}{2}},$$

where  $c_1 = 1, c_2 = 2$  and  $R(z) = \frac{z^2 - 2}{z - \frac{3}{2}}$ . But  $f(z) \neq 2$ .

This example shows that the assumption  $a_p b^p + a_{p-1} b^{p-1} + \cdots + a_0 = nb(b_q b^q + b_{q-1} b^{q-1} + \cdots + b_0)$  is necessary for our result (4) in Theorem 1.4 even when  $\deg R(z) \geq 2$ .

In 2007, Laine and Yang [6] considered zeros of one certain type of difference polynomials and obtained the following classic theorem.

**Theorem 1.9.** *Let  $f$  be a transcendental entire function of finite order and  $c$  be a nonzero complex constant. If  $n \geq 2$ , then  $f^n(z)f(z+c) - a$  has infinitely many zeros, where  $a \in \mathbb{C} \setminus \{0\}$ .*

At last, we also consider one special difference polynomial  $f^n(\Delta f)^s - \alpha(z)$  corresponding to Theorem 1.9 as follows.

**Theorem 1.10.** *Let  $f$  be a transcendental entire function of finite order,  $\alpha(z) (\neq 0)$  be a small function of  $f$  and  $\Delta f \neq 0$ . Then  $f^n(\Delta f)^s - \alpha(z) (n \geq 2)$  has infinitely many zeros.*

## 2. SOME LEMMAS

To prove our results, we need some lemmas as follows.

**Lemma 2.1** ([2]). *Let  $f$  be a meromorphic function with a finite order  $\sigma$ , and  $\eta$  be a nonzero constant. For any  $\varepsilon > 0$ , we have*

$$m\left(r, \frac{f(z+\eta)}{f(z)}\right) = O(r^{\sigma-1+\varepsilon}).$$

**Lemma 2.2** ([2]). *Let  $f(z)$  be a transcendental meromorphic function with finite order  $\sigma$  and  $\eta$  be a nonzero complex number. Then for each  $\varepsilon > 0$ , we have*

$$T(r, f(z + \eta)) = T(r, f) + O(r^{\sigma-1+\varepsilon}) + O(\log r);$$

*i.e.,  $T(r, f(z + \eta)) = T(r, f) + S(r, f)$ .*

**Lemma 2.3** ([2]). *Let  $f(z)$  be a meromorphic function with finite exponent of convergence of poles  $\lambda = \lambda(\frac{1}{f}) < \infty$ , and  $\eta$  be a fixed number. Then for each  $\varepsilon > 0$ , we have*

$$N(r, f(z + \eta)) = N(r, f) + O(r^{\lambda-1+\varepsilon}) + O(\log r).$$

**Lemma 2.4** ([5]). *Let  $w(z)$  be a finite order transcendental meromorphic solution of the equation*

$$P(z, w) = 0,$$

*where  $P(z, w)$  is a differential-difference polynomial in  $w$  and its shifts. If  $P(z, a) \not\equiv 0$  for a meromorphic function  $a \in S(r, w)$ , then*

$$m(r, \frac{1}{w - a}) = S(r, w).$$

**Lemma 2.5** ([8]). *Let  $f(z)$  be a non-constant meromorphic function in the complex plane and*

$$R(f) = \frac{p(f)}{q(f)},$$

*where  $p(f) = \sum_{k=0}^p a_k f^k$  and  $q(f) = \sum_{j=0}^q b_j f^j$  are two mutually prime polynomials in  $f(z)$ . If the coefficients  $a_k, b_j$  are small functions of  $f(z)$  and  $a_p(z) \not\equiv 0, b_q(z) \not\equiv 0$ , then*

$$T(r, R(f)) = \max\{p, q\}T(r, f) + S(r, f).$$

**Lemma 2.6** ([7]). *Let  $f$  be a transcendental meromorphic function and*

$$F = a_n f^n + a_{n-1} f^{n-1} + \dots + a_0 \quad (a_n \not\equiv 0)$$

*be a polynomial in  $f$  with coefficients being small functions of  $f$ . Then either*

$$F = a_n (f + \frac{a_{n-1}}{na_n})^n \quad \text{or} \quad T(r, f) \leq \overline{N}(r, \frac{1}{F}) + \overline{N}(r, f) + S(r, f).$$

**Remark 2.7.** From the definition, we have (see [1])

$$m(r, f(cz)) = m(|c|r, f(z)) \quad \text{and} \quad N(|c|r, f(z)) = N(r, f(cz)) + n(0, f(cz)) \log |c|,$$

*i.e.,*

$$N(|c|r, f(z)) = N(r, f(cz)) + O(1) \quad \text{and} \quad T(|c|r, f(z)) = T(r, f(cz)) + O(1).$$

### 3. PROOFS OF THEOREMS

*Proof of Theorem 1.2.* First of all, we suppose that both  $p$  and  $t$  are positive integers, and rewrite Equation (1.2) as

$$H(z) := \prod_{i=1}^n f(q_i z) = A(z) \frac{P(z, f)}{T(z, f)}, \tag{3.1}$$

where

$$A(z) = \frac{a_p(z)}{b_t(z)}, \quad P(z, f) = \frac{a_0(z)}{a_p(z)} + \frac{a_1(z)}{a_p(z)} f(z) + \dots + f^p(z)$$

and

$$T(z, f) = \frac{b_0(z)}{b_t(z)} + \frac{b_1(z)}{b_t(z)}f(z) + \cdots + f^t(z).$$

Then from the definitions of  $H, P$  and  $T$  in Equation (3.1), we obtain

$$\frac{H'}{H} = \sum_{i=1}^n \frac{q_i f'(q_i z)}{f(q_i z)}, \quad (3.2)$$

$$\left(\frac{H'}{H} - \frac{A'}{A}\right)PT = P'T - PT'. \quad (3.3)$$

Fixing constants  $\beta, \gamma$  such that

$$\max\{\bar{\lambda}(f), \bar{\lambda}\left(\frac{1}{f}\right)\} < \beta < \gamma < \sigma,$$

then we obtain

$$T\left(r, \frac{f'}{f}\right) = m\left(r, \frac{f'}{f}\right) + \bar{N}(r, f) + \bar{N}\left(r, \frac{1}{f}\right) = O(r^\beta) + S(r, f). \quad (3.4)$$

By choosing subsequence  $r_n$  such that  $T(r_n, f) > r_n^\gamma$ , and noticing Equation (3.4) simultaneously, we obtain

$$T\left(r_n, \frac{f'}{f}\right) = S(r_n, f). \quad (3.5)$$

Using the same method which leads to (3.4), and taking note of Remark 2.7, we can get

$$\begin{aligned} T\left(r, \frac{H'}{H}\right) &= m\left(r, \frac{H'}{H}\right) + \bar{N}(r, H) + \bar{N}\left(r, \frac{1}{H}\right) \\ &\leq \sum_{i=1}^n \bar{N}(r, f(q_i z)) + \sum_{i=1}^n \bar{N}\left(r, \frac{1}{f(q_i z)}\right) + S(r, f) \\ &= \sum_{i=1}^n \bar{N}(|q_i|r, f(z)) + \sum_{i=1}^n \bar{N}\left(|q_i|r, \frac{1}{f(z)}\right) + S(r, f) \\ &= O(r^\beta) + S(r, f), \end{aligned}$$

which shows

$$T\left(r_n, \frac{H'}{H}\right) = S(r_n, f). \quad (3.6)$$

By substituting  $P, T$  which are defined in (3.1) into (3.3), we obtain

$$\left(\frac{H'}{H} - \frac{A'}{A}\right)(f^{p+t} + Q_{p+t-1}) = P'T - PT' = (p-t)\frac{f'}{f}f^{p+t} + \tilde{Q}_{p+t-1}$$

where  $Q_{p+t-1}$  and  $\tilde{Q}_{p+t-1}$  are differential polynomials in  $f$  with coefficients being small functions with degree at most  $p+t-1$ . Thus from the equation above, we obtain

$$\left[\frac{H'}{H} - \frac{A'}{A} - (p-t)\frac{f'}{f}\right]f^{p+t} = \tilde{Q}_{p+t-1} - \left(\frac{H'}{H} - \frac{A'}{A}\right)Q_{p+t-1}. \quad (3.7)$$

From (3.5) and (3.6), we see  $\frac{H'}{H} - \frac{A'}{A} - (p-t)\frac{f'}{f}$  is small function of  $f(z)$  (for  $r_n$ ).

Thus if  $\frac{H'}{H} - \frac{A'}{A} - (p-t)\frac{f'}{f} \neq 0$ , then by applying Lemma 2.5 to (3.7), we obtain

$$(p+t)T(r_n, f) \leq (p+t-1)T(r_n, f) + S(r_n, f),$$

which is impossible. Thus

$$\frac{H'}{H} - \frac{A'}{A} - (p-t)\frac{f'}{f} \equiv 0.$$

Then we solve the equation above and get

$$f^{p-t} = k\frac{H}{A} = k\frac{P}{T}, \quad (3.8)$$

where  $k$  is a nonzero constant. By (3.8) and Lemma 2.5, we obtain  $|p-t| = \max\{p, t\}$ , which is impossible since  $p$  and  $t$  are positive integers. Thus  $p=0$  or  $t=0$ , and we distinguish two cases as follows.

**Case 1.**  $t=0$ , then (1.2) becomes

$$F := \prod_{i=1}^n f(q_i z) = a_0(z) + a_1(z)f(z) + \cdots + a_p(z)f^p(z).$$

By Lemma 2.6 and the equation above, we obtain

$$T(r, f) \leq \overline{N}\left(r, \frac{1}{F}\right) + \overline{N}(r, f) + S(r, f) \quad (3.9)$$

or

$$F = a_p\left(f + \frac{a_{p-1}}{pa_p}\right)^p. \quad (3.10)$$

If Equation (3.9) holds, then

$$T(r, f) \leq \sum_{i=1}^n \overline{N}\left(r, \frac{1}{f(q_i z)}\right) + \overline{N}(r, f) + S(r, f) = O(r^\beta) + S(r, f).$$

Thus by the same discussion above, we see  $T(r_n, f) \leq S(r_n, f)$ , which is impossible.

If Equation (3.10) holds, and  $a_{p-1} \neq 0$ , then the second main theorem related to small functions implies

$$\begin{aligned} T(r, f) &\leq \overline{N}\left(r, \frac{1}{f}\right) + \overline{N}(r, f) + \overline{N}\left(r, \frac{1}{f + \frac{a_{p-1}}{pa_p}}\right) + \varepsilon T(r, f) + S(r, f) \\ &\leq O(r^\beta) + \overline{N}\left(r, \frac{1}{F}\right) + \varepsilon T(r, f) + S(r, f) \\ &\leq \sum_{i=1}^n \overline{N}\left(r, \frac{1}{f(q_i z)}\right) + O(r^\beta) + \varepsilon T(r, f) + S(r, f) \\ &= O(r^\beta) + \varepsilon T(r, f) + S(r, f). \end{aligned}$$

That is,

$$T(r_n, f) \leq \varepsilon T(r_n, f) + S(r_n, f),$$

which is impossible since can  $\varepsilon$  be set small enough. Thus  $a_{p-1} \equiv 0$ , and then Equation (1.2) becomes  $\prod_{i=1}^n f(q_i z) = a_p f^p$ .

**Case 2.**  $p=0$ . then Equation (1.2) becomes

$$\tilde{F} := \left(\prod_{i=1}^n f(q_i z)\right)^{-1} = \frac{1}{a_0}(b_0(z) + b_1(z)f(z) + \cdots + b_t(z)f^t(z)).$$

Using the similar method in case 1, we obtain  $\prod_{i=1}^n f(q_i z) = \frac{a_0(z)}{f^t(z)}$ . The proof of Theorem 1.2 is complete.  $\square$

*Proof of Theorem 1.4.* First of all, we suppose that  $f$  is a finite order transcendental meromorphic solution of (1.3). Then by Lemma 2.2, Lemma 2.5 and Equation (1.3), we can deduce

$$dT(r, f) + S(r, f) = T(r, \sum_{j=1}^n f(z + c_j)) \leq nT(r, f) + S(r, f),$$

which leads to  $n \geq d \geq 2$ .

(1) From (1.3), it is easy to see

$$\begin{aligned} P(z, f) &:= \left[ \sum_{j=1}^n f(z + c_j) \right] [b_q f(z)^q + b_{q-1} f(z)^{q-1} + \cdots + b_0] \\ &\quad - [a_p f(z)^p + a_{p-1} f(z)^{p-1} + \cdots + a_0] \equiv 0. \end{aligned}$$

Then we see  $P(z, 0) = -a_0 \neq 0$ . It follows from Lemma 2.4 that

$$m(r, \frac{1}{f}) = S(r, f)$$

possibly out a set with logarithmic measure, which leads to

$$N(r, \frac{1}{f}) = T(r, f) + S(r, f).$$

Thus  $\delta(0, f) = 0$ , i.e.,  $\lambda(f) = \sigma(f)$ .

(2) Let  $f(z) = g(z) + z$  and substitute it into (1.3), we see

$$\begin{aligned} \tilde{P}(z, g) &:= \left[ \sum_{j=1}^n g(z + c_j) + \sum_{j=1}^n c_j + nz \right] [b_q (g(z) + z)^q + b_{q-1} (g(z) + z)^{q-1} + \cdots \\ &\quad + b_0] - [a_p (g(z) + z)^p + a_{p-1} (g(z) + z)^{p-1} + \cdots + a_0] \equiv 0. \end{aligned}$$

Thus

$$\tilde{P}(z, 0) = (nz + \sum_{j=1}^n c_j)Q(z) - P(z).$$

If  $(nz + \sum_{j=1}^n c_j)Q(z) - P(z) \equiv 0$ , then  $Q(z)|P(z)$ . But  $Q(z), P(z)$  are relatively prime polynomials, so  $Q(z)$  is a nonzero constant and then  $P(z)$  is a polynomial with degree 1. This is impossible since  $\deg R(z) \geq 2$ . Thus  $(nz + \sum_{j=1}^n c_j)Q(z) - P(z) \neq 0$ , that is  $\tilde{P}(z, 0) \neq 0$ . It follows from Lemma 2.4 once again that

$$m(r, \frac{1}{f-z}) = m(r, \frac{1}{g}) = S(r, f)$$

possibly out a set with logarithmic measure, which leads to

$$N(r, \frac{1}{f-z}) = T(r, f) + S(r, f).$$

Thus  $\tau(f) = \sigma(f)$ .

(3) By applying Lemmas 2.1, 2.3, 2.5 to (1.3), we have

$$\begin{aligned} dT(r, f) + S(r, f) &= T(r, \sum_{j=1}^n f(z + c_j)) \\ &= m(r, \frac{\sum_{j=1}^n f(z + c_j)}{f} f) + N(r, \sum_{j=1}^n f(z + c_j)) \end{aligned}$$

$$\begin{aligned} &\leq m(r, f) + \sum_{j=1}^n N(r, f(z + c_j)) + S(r, f) \\ &= T(r, f) - N(r, f) + nN(r, f) + S(r, f). \end{aligned}$$

That is,

$$T(r, f) \leq (d-1)T(r, f) \leq (n-1)N(r, f) + S(r, f),$$

which leads to  $\lambda(\frac{1}{f}) = \sigma(f)$ .

(4) Suppose that  $b$  is a deficiency value with respect to  $f$ , from result (3), we see  $b \neq \infty$ . Set  $f(z) = g(z) + b$  and substitute it into (1.3), we have

$$nb + \sum_{j=1}^n g(z + c_j) = R(z) \circ (g(z) + b) = R(z) \circ (z + b) \circ g(z).$$

That is,

$$\sum_{j=1}^n g(z + c_j) = \tilde{R}(z) \circ g(z),$$

where  $\tilde{R}(z) = R(z + b) - nb$ . If

$$\tilde{a}_0 = a_p b^p + a_{p-1} b^{p-1} + \cdots + a_0 - nb(b_q b^q + b_{q-1} b^{q-1} + \cdots + b_0) \neq 0,$$

then from result (1), we see

$$N(r, \frac{1}{f-b}) = N(r, \frac{1}{g}) = T(r, f) + S(r, f),$$

which implies  $b$  is not a deficiency value of  $f$ . The proof of Theorem 1.4 is complete.  $\square$

*Proof of Theorem 1.10.* If  $n \geq 3$ , then by Lemma 2.1, it is easy to see that

$$T(r, \frac{\Delta f}{f}) = N(r, \frac{\Delta f}{f}) + m(r, \frac{\Delta f}{f}) \leq T(r, f) + S(r, f),$$

$$T(r, \Delta f) = m(r, \Delta f) \leq m(r, \frac{\Delta f}{f}) + m(r, f) \leq T(r, f) + S(r, f).$$

Thus

$$\begin{aligned} &nT(r, f) + S(r, f) \\ &\leq (n+s)T(r, f) - sT(r, \frac{\Delta f}{f}) \\ &\leq T(r, f^{n+s}(\frac{\Delta f}{f})^s) \\ &= T(r, f^n(\Delta f)^s) \\ &\leq \bar{N}(r, \frac{1}{f}) + \bar{N}(r, \frac{1}{\Delta f}) + \bar{N}(r, \frac{1}{f^n(\Delta f)^s - \alpha(z)}) + \varepsilon T(r, f) + S(r, f) \\ &\leq 2T(r, f) + \bar{N}(r, \frac{1}{f^n(\Delta f)^s - \alpha(z)}) + \varepsilon T(r, f) + S(r, f). \end{aligned}$$

That is,

$$(n-2-\varepsilon)T(r, f) \leq \bar{N}(r, \frac{1}{f^n(\Delta f)^s - \alpha}) + S(r, f).$$

Thus  $f^n(\Delta f)^s - \alpha(z)$  has infinitely many zeros since  $\varepsilon$  can be fixed small enough. Now we just need to consider the case  $n = 2$ . On the contrary, we suppose that

$f^n(\Delta f)^s - \alpha(z)$  has just only finitely many zeros, then we obtain that there exists two polynomials said  $p$  and  $Q$  such that

$$f^2(\Delta f)^s - \alpha = pe^Q. \quad (3.11)$$

By differentiating (3.11) and eliminating  $e^{Q(z)}$ , we obtain

$$f[2pf'(\Delta f)^s + sp(\Delta f)^{s-1}(\Delta f)'f - (p' + pQ')(\Delta f)^s f] = p\alpha' - \alpha(p' + pQ'). \quad (3.12)$$

If  $p\alpha' - \alpha(p' + pQ') \neq 0$ , then from (3.12), we see

$$N(r, \frac{1}{f}) \leq N(r, \frac{1}{p\alpha' - \alpha(p' + pQ')}) = S(r, f).$$

Hence

$$\begin{aligned} 2T(r, f) &\leq T(r, f^2(\Delta f)^s) + S(r, f) \\ &\leq \bar{N}(r, \frac{1}{f}) + \bar{N}(r, \frac{1}{\Delta f}) + \bar{N}(r, \frac{1}{f^2(\Delta f)^s - \alpha(z)}) + \varepsilon T(r, f) + S(r, f) \\ &\leq T(r, f) + \varepsilon T(r, f) + S(r, f), \end{aligned}$$

which is impossible.

If  $p\alpha' - \alpha(p' + pQ') \equiv 0$ , we see  $pe^Q = k\alpha$ , where  $k$  is a constant. Thus we substitute it into (3.11), and obtain

$$f^2(\Delta f)^s = (k + 1)\alpha.$$

Thus

$$2T(r, f) \leq T(r, f^2(\Delta f)^s) + S(r, f) = T(r, (k + 1)\alpha) + S(r, f) = S(r, f),$$

which is a contradiction. The proof of Theorem 1.10 is complete.  $\square$

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