

HOMOCLINIC SOLUTIONS FOR A CLASS OF SECOND ORDER NON-AUTONOMOUS SYSTEMS

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ABSTRACT. This article concerns the existence of homoclinic solutions for the second order non-autonomous system

$$\ddot{q} + A\dot{q} - L(t)q + W_q(t, q) = 0,$$

where A is a skew-symmetric constant matrix, $L(t)$ is a symmetric positive definite matrix depending continuously on $t \in \mathbb{R}$, $W \in C^1(\mathbb{R} \times \mathbb{R}^n, \mathbb{R})$. We assume that $W(t, q)$ satisfies the global Ambrosetti-Rabinowitz condition, that the norm of A is sufficiently small and that L and W satisfy additional hypotheses. We prove the existence of at least one nontrivial homoclinic solution, and the existence of infinitely many homoclinic solutions if $W(t, q)$ is even in q . Recent results in the literature are generalized and improved.

1. INTRODUCTION

The purpose of this work is to study the existence of *homoclinic* solutions for the second order non-autonomous system

$$\ddot{q} + A\dot{q} - L(t)q + W_q(t, q) = 0, \quad (1.1)$$

where A is a skew-symmetric constant matrix, $L(t)$ is a symmetric and positive definite matrix depending continuously on $t \in \mathbb{R}$, $W \in C^1(\mathbb{R} \times \mathbb{R}^n, \mathbb{R})$. A solution $q(t)$ of (1.1) is called a homoclinic solution (to 0) if $q \in C^2(\mathbb{R}, \mathbb{R}^n)$, $q(t) \rightarrow 0$ and $\dot{q}(t) \rightarrow 0$ as $t \rightarrow \pm\infty$. If $q(t) \not\equiv 0$, $q(t)$ is called a nontrivial homoclinic solution.

When $A = 0$, (1.1) is the second order Hamiltonian system. Assuming that $L(t)$ and $W(t, q)$ are independent of t or T -periodic in t , the existence of homoclinic solutions for the Hamiltonian system (1.1) has been studied via critical point theory and variational methods, see for instance [2, 4, 6, 8, 9, 15, 17] and the references therein; a more general case is considered in [10]. In this case, the existence of homoclinic solutions can be obtained by taking the limit of periodic solutions of approximating problems. If $L(t)$ and $W(t, q)$ are neither independent of t not periodic in t , compactness arguments derived from Sobolev imbedding theorem are not available for the study of (1.1), see [1, 5, 7, 11, 12, 13, 14, 18] and the references therein.

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When $A \neq 0$, as far as we know, the existence of homoclinic solutions of (1.1) has not been studied. Our basic hypotheses on L and W are:

(H1) $L \in C(\mathbb{R}, \mathbb{R}^{n^2})$, $L(t)$ is a symmetric and positive definite matrix for all $t \in \mathbb{R}$, and there is a continuous function $\alpha : \mathbb{R} \rightarrow \mathbb{R}$ such that $\alpha(t) > 0$ for all $t \in \mathbb{R}$, $(L(t)q, q) \geq \alpha(t)|q|^2$, and $\alpha(t) \rightarrow +\infty$ as $|t| \rightarrow +\infty$.

(H2) There exists a constant $\mu > 2$ such that for every $t \in \mathbb{R}$ and $q \in \mathbb{R}^n \setminus \{0\}$

$$0 < \mu W(t, q) \leq (W_q(t, q), q).$$

(H3) $W_q(t, q) = o(|q|)$ as $|q| \rightarrow 0$ uniformly with respect to $t \in \mathbb{R}$.

(H4) There exists $\overline{W} \in C(\mathbb{R}^n, \mathbb{R})$ such that $|W_q(t, q)| \leq |\overline{W}(q)|$ for every $t \in \mathbb{R}$ and $q \in \mathbb{R}^n$.

Remark 1.1. From (H1), we see that there is a constant $\beta > 0$ such that

$$(L(t)q, q) \geq \beta|q|^2 \quad \text{for all } t \in \mathbb{R} \text{ and } q \in \mathbb{R}^n. \quad (1.2)$$

(H2) is called the global Ambrosetti-Rabinowitz condition due to Ambrosetti and Rabinowitz (e.g., [3]). Combining (H2) with (H3), we see that $W(t, q) \geq 0$ for all $(t, q) \in \mathbb{R} \times \mathbb{R}^n$, $W(t, 0) = 0$, $W_q(t, 0) = 0$. Moreover, $W(t, q) = o(|q|^2)$ as $|q| \rightarrow 0$ uniformly with respect to t , which implies that for any $\varepsilon > 0$ there is $\delta > 0$ such that

$$W(t, q) \leq \varepsilon|q|^2 \quad \text{for } (t, q) \in \mathbb{R} \times \mathbb{R}^n, |q| \leq \delta. \quad (1.3)$$

In addition, we need the following hypothesis on A .

(H5) $\|A\| < \sqrt{\beta}$, where β is defined in (1.2).

Now we state our main result.

Theorem 1.2. *Assume (H1)–(H5). Then (1.1) possesses at least one nontrivial homoclinic solution. Moreover, if we assume that $W(t, q)$ is even in q ; i.e.,*

(H6) $W(t, -q) = W(t, q)$ for all $t \in \mathbb{R}$ and $q \in \mathbb{R}^n$,

then (1.1) has infinitely many distinct homoclinic solutions.

Remark 1.3. From Remark 1.1, we know that there exists $\beta > 0$ such that (1.2) holds. However, since we do not have an explicit estimate on β , we simply assume that $\|A\|$ is sufficiently small. Furthermore, when $A = 0$, our main result is just [13, Theorems 1 and 2].

To overcome the lack of compactness in standard Sobolev imbedding theorems, we employ a compact imbedding theorem obtained in [13]. In Section 2 we state and prove preliminary results. Section 3 is devoted to the proof of Theorem 1.2.

2. PRELIMINARIES

Let

$$E = \left\{ q \in H^1(\mathbb{R}, \mathbb{R}^n) : \int_{\mathbb{R}} [|\dot{q}(t)|^2 + (L(t)q(t), q(t))] dt < +\infty \right\}.$$

This vector space is a Hilbert space when endowed with the inner product

$$(x, y) = \int_{\mathbb{R}} [(\dot{x}(t), \dot{y}(t)) + (L(t)x(t), y(t))] dt$$

and the corresponding norm $\|x\|^2 = (x, x)$. Note that

$$E \subset H^1(\mathbb{R}, \mathbb{R}^n) \subset L^p(\mathbb{R}, \mathbb{R}^n)$$

for all $p \in [2, +\infty]$ with the imbedding being continuous. In particular, for $p = +\infty$, there exists a constant $C > 0$ such that

$$\|q\|_\infty \leq C\|q\|, \quad \forall q \in E. \quad (2.1)$$

Here $L^p(\mathbb{R}, \mathbb{R}^n)$ ($2 \leq p < +\infty$) and $H^1(\mathbb{R}, \mathbb{R}^n)$ denote the Banach spaces of functions on \mathbb{R} with values in \mathbb{R}^n under the norms

$$\|q\|_p := \left(\int_{\mathbb{R}} |q(t)|^p dt \right)^{1/p} \quad \text{and} \quad \|q\|_{H^1} := \left(\|q\|_2^2 + \|\dot{q}\|_2^2 \right)^{1/2}$$

respectively. $L^\infty(\mathbb{R}, \mathbb{R}^n)$ is the Banach space of essentially bounded functions from \mathbb{R} into \mathbb{R}^n equipped with the norm

$$\|q\|_\infty := \text{ess sup}\{|q(t)| : t \in \mathbb{R}\}.$$

Lemma 2.1 ([13, Lemma 1]). *Assume L satisfies (H1). Then the embedding of E in $L^2(\mathbb{R}, \mathbb{R}^n)$ is compact.*

Lemma 2.2 ([13, Lemma 2]). *Assume (H1), (H3), (H4). If $q_k \rightharpoonup q_0$ (weakly) in E , then $W_q(t, q_k) \rightarrow W_q(t, q_0)$ in $L^2(\mathbb{R}, \mathbb{R}^n)$.*

Lemma 2.3. *Under Assumption (H2), for every $t \in \mathbb{R}$, we have*

$$W(t, q) \leq W\left(t, \frac{q}{|q|}\right)|q|^\mu, \quad \text{if } 0 < |q| \leq 1, \quad (2.2)$$

$$W(t, q) \geq W\left(t, \frac{q}{|q|}\right)|q|^\mu, \quad \text{if } |q| \geq 1. \quad (2.3)$$

Proof. It suffices to show that for every $q \neq 0$ and $t \in \mathbb{R}$ the function $(0, \infty) \ni \zeta \rightarrow W(t, \zeta^{-1}q)\zeta^\mu$ is non-increasing, which is an immediate consequence of (H2). \square

Remark 2.4. From Lemma 2.3, we see that there exists $\alpha_0(t) > 0$ such that

$$W(t, q) \geq \alpha_0(t)|q|^\mu \quad \text{for all } (t, q) \in \mathbb{R} \times \mathbb{R}^n, |q| \geq 1.$$

Now we introduce more notation and some definitions. Let \mathcal{B} be a real Banach space, $I \in C^1(\mathcal{B}, \mathbb{R})$, which means that I is a continuously Fréchet-differentiable functional defined on \mathcal{B} .

Definition 2.5 ([16]). $I \in C^1(\mathcal{B}, \mathbb{R})$ is said to satisfy the (PS) condition if any sequence $\{u_j\}_{j \in \mathbb{N}} \subset \mathcal{B}$, for which $\{I(u_j)\}_{j \in \mathbb{N}}$ is bounded and $I'(u_j) \rightarrow 0$ as $j \rightarrow +\infty$, possesses a convergent subsequence in \mathcal{B} .

Moreover, let B_r be the open ball in \mathcal{B} with the radius r and centered at 0 and ∂B_r denote its boundary. We obtain the existence and multiplicity of homoclinic solutions of (1.1) by use of the following well-known Mountain Pass Theorems, see [16].

Lemma 2.6 ([16, Theorem 2.2]). *Let \mathcal{B} be a real Banach space and $I \in C^1(\mathcal{B}, \mathbb{R})$ satisfying the (PS) condition. Suppose that $I(0) = 0$ and*

(A1) *there exist constants $\rho, \alpha > 0$ such that $I|_{\partial B_\rho} \geq \alpha$,*

(A2) *there exists $e \in \mathcal{B} \setminus \overline{B_\rho}$ such that $I(e) \leq 0$.*

Then I possesses a critical value $c \geq \alpha$ given by

$$c = \inf_{g \in \Gamma} \max_{s \in [0, 1]} I(g(s)),$$

where

$$\Gamma = \{g \in C([0, 1], \mathcal{B}) : g(0) = 0, g(1) = e\}.$$

Lemma 2.7 ([16, Theorem 9.12]). *Let \mathcal{B} be an infinite dimensional real Banach space and $I \in C^1(\mathcal{B}, \mathbb{R})$ be even satisfying the (PS) condition and $I(0) = 0$. If $\mathcal{B} = V \oplus X$, where V is finite dimensional, and I satisfies*

(A3) *there exist constants $\rho, \alpha > 0$ such that $I|_{\partial B_\rho \cap X} \geq \alpha$ and*

(A4) *for each finite dimensional subspace $\tilde{\mathcal{B}} \subset \mathcal{B}$, there is an $R = R(\tilde{\mathcal{B}})$ such that $I \leq 0$ on $\tilde{\mathcal{B}} \setminus B_{R(\tilde{\mathcal{B}})}$,*

then I has an unbounded sequence of critical values.

3. PROOF OF THEOREM 1.2

Now we establish the corresponding variational framework to obtain homoclinic solutions of (1.1). Take $\mathcal{B} = E$ and define the functional $I : E \rightarrow \mathbb{R}$ by

$$\begin{aligned} I(q) &= \int_{\mathbb{R}} \left[\frac{1}{2} |\dot{q}(t)|^2 + \frac{1}{2} (Aq(t), \dot{q}(t)) + \frac{1}{2} (L(t)q(t), q(t)) - W(t, q(t)) \right] dt \\ &= \frac{1}{2} \|q\|^2 + \frac{1}{2} \int_{\mathbb{R}} (Aq(t), \dot{q}(t)) dt - \int_{\mathbb{R}} W(t, q(t)) dt. \end{aligned} \quad (3.1)$$

Lemma 3.1. *Under the conditions of Theorem 1.2, we have*

$$I'(q)v = \int_{\mathbb{R}} \left[(\dot{q}(t), \dot{v}(t)) - (A\dot{q}(t), v(t)) + (L(t)q(t), v(t)) - (W_q(t, q(t)), v(t)) \right] dt, \quad (3.2)$$

for all $q, v \in E$, which yields, using the skew-symmetry of A ,

$$\begin{aligned} I'(q)q &= \|q\|^2 - \int_{\mathbb{R}} (A\dot{q}(t), q(t)) dt - \int_{\mathbb{R}} (W_q(t, q(t)), q(t)) dt \\ &= \|q\|^2 + \int_{\mathbb{R}} (Aq(t), \dot{q}(t)) dt - \int_{\mathbb{R}} (W_q(t, q(t)), q(t)) dt. \end{aligned} \quad (3.3)$$

Moreover, I is a continuously Fréchet-differentiable functional defined on E ; i.e., $I \in C^1(E, \mathbb{R})$ and any critical point of I on E is a classical solution of (1.1) with $q(\pm\infty) = 0 = \dot{q}(\pm\infty)$.

Proof. We begin by showing that $I : E \rightarrow \mathbb{R}$. By (1.3), there exist constants $M > 0$ and $R_1 > 0$ such that

$$W(t, q) \leq M|q|^2 \quad \text{for all } (t, q) \in \mathbb{R} \times \mathbb{R}^n, |q| \leq R_1. \quad (3.4)$$

Letting $q \in E$, then $q \in C^0(\mathbb{R}, \mathbb{R}^n)$ (see, e.g., [17]), the space of continuous functions q on \mathbb{R} such that $q(t) \rightarrow 0$ as $|t| \rightarrow +\infty$; i.e., $E \subset C^0(\mathbb{R}, \mathbb{R}^n)$. Therefore there is a constant $R_2 > 0$ such that $|t| \geq R_2$ implies that $|q(t)| \leq R_1$. Hence, by (3.4), we have

$$0 \leq \int_{\mathbb{R}} W(t, q(t)) dt \leq \int_{-R_2}^{R_2} W(t, q(t)) dt + M \int_{|t| \geq R_2} |q(t)|^2 dt < +\infty. \quad (3.5)$$

Combining (3.1) and (3.5), we show that $I : E \rightarrow \mathbb{R}$.

Next we prove that $I \in C^1(E, \mathbb{R})$. Rewrite I as $I = I_1 - I_2$, where

$$I_1 := \frac{1}{2} \int_{\mathbb{R}} \left[|\dot{q}(t)|^2 + (Aq(t), \dot{q}(t)) + (L(t)q(t), q(t)) \right] dt, \quad I_2 := \int_{\mathbb{R}} W(t, q(t)) dt.$$

It is easy to check that $I_1 \in C^1(E, \mathbb{R})$, and by using the skew-symmetry of A , we have

$$I_1'(q)v = \int_{\mathbb{R}} \left[(\dot{q}(t), \dot{v}(t)) - (A\dot{q}(t), v(t)) + (L(t)q(t), v(t)) \right] dt. \quad (3.6)$$

Therefore it is sufficient to consider I_2 . In the process we will see that

$$I_2'(q)v = \int_{\mathbb{R}} (W_q(t, q(t)), v(t)) dt, \quad (3.7)$$

which is defined for all $q, v \in E$. For any given $q \in E$, let us define $J(q) : E \rightarrow \mathbb{R}$ as following

$$J(q)v = \int_{\mathbb{R}} (W_q(t, q(t)), v(t)) dt, \quad v \in E.$$

It is obvious that $J(q)$ is linear. Now we show that $J(q)$ is bounded. Indeed, for any given $q \in E$, there exists a constant $M_1 > 0$ such that $\|q\| \leq M_1$ and, by (2.1), $\|q\|_{\infty} \leq CM_1$. According to (H3) and (H4), there is a constant $b_1 > 0$ (dependent on q) such that

$$|W_q(t, q(t))| \leq b_1|q(t)| \quad \text{for all } t \in \mathbb{R},$$

which by (1.2) and the Hölder inequality yields

$$|J(q)v| = \left| \int_{\mathbb{R}} (W_q(t, q(t)), v(t)) dt \right| \leq b_1 \|q\|_2 \|v\|_2 \leq \frac{b_1}{\beta} \|q\| \|v\|. \quad (3.8)$$

Moreover, for q and $v \in E$, by the Mean Value Theorem, we have

$$\int_{\mathbb{R}} W(t, q(t) + v(t)) dt - \int_{\mathbb{R}} W(t, q(t)) dt = \int_{\mathbb{R}} (W_q(t, q(t) + h(t)v(t)), v(t)) dt,$$

where $h(t) \in (0, 1)$. Therefore, by Lemma 2.2 and the Hölder inequality, we have

$$\begin{aligned} & \int_{\mathbb{R}} (W_q(t, q(t) + h(t)v(t)), v(t)) dt - \int_{\mathbb{R}} (W_q(t, q(t)), v(t)) dt \\ &= \int_{\mathbb{R}} (W_q(t, q(t) + h(t)v(t)) - W_q(t, q(t)), v(t)) dt \rightarrow 0 \end{aligned} \quad (3.9)$$

as $v \rightarrow 0$. Combining (3.8) and (3.9), we see that (3.7) holds. It remains to prove that I_2' is continuous. Suppose that $q \rightarrow q_0$ in E and note that

$$I_2'(q)v - I_2'(q_0)v = \int_{\mathbb{R}} (W_q(t, q(t)) - W_{q_0}(t, q_0(t)), v(t)) dt.$$

By Lemma 2.2 and the Hölder inequality, we obtain

$$I_2'(q)v - I_2'(q_0)v \rightarrow 0 \quad \text{as } q \rightarrow q_0,$$

which implies the continuity of I_2' and we show that $I \in C^1(E, \mathbb{R})$.

Lastly, we check that critical points of I are classical solutions of (1.1) satisfying $q(t) \rightarrow 0$ and $\dot{q}(t) \rightarrow 0$ as $|t| \rightarrow +\infty$. It is well known that $E \subset C^0(\mathbb{R}, \mathbb{R}^n)$ (the space of continuous functions q on \mathbb{R} such that $q(t) \rightarrow 0$ as $|t| \rightarrow +\infty$). On the other hand, if q is a critical point of I , for any $v \in E \subset C^0(\mathbb{R}, \mathbb{R}^n)$, by (3.2) we have

$$\begin{aligned} \int_{\mathbb{R}} [(\dot{q}(t), \dot{v}(t)) - (A\dot{q}(t), v(t))] dt &= \int_{\mathbb{R}} (\dot{q}(t) + Aq(t), \dot{v}(t)) dt \\ &= - \int_{\mathbb{R}} (L(t)q(t) - W_q(t, q(t)), v(t)) dt, \end{aligned}$$

which implies that $L(t)q - W_q(t, q)$ is the weak derivative of $\dot{q} + Aq$. Since $L \in C(\mathbb{R}, \mathbb{R}^{n^2})$, $W \in C^1(\mathbb{R} \times \mathbb{R}^n, \mathbb{R})$ and $E \subset C^0(\mathbb{R}, \mathbb{R}^n)$, we see that $\dot{q} + Aq$ is continuous, which yields that \dot{q} is continuous and $q \in C^2(\mathbb{R}, \mathbb{R}^n)$; i.e., q is a classical solution of (1.1). Moreover, it is easy to check that q satisfies $\dot{q}(t) \rightarrow 0$ as $|t| \rightarrow +\infty$ since \dot{q} is continuous. \square

Lemma 3.2. *Under Assumption (H1)-(H5), I satisfies the (PS) condition.*

Proof. Assume that $\{u_j\}_{j \in \mathbb{N}} \subset E$ is a sequence such that $\{I(u_j)\}_{j \in \mathbb{N}}$ is bounded and $I'(u_j) \rightarrow 0$ as $j \rightarrow +\infty$. Then there exists a constant $C_1 > 0$ such that

$$|I(u_j)| \leq C_1, \quad \|I'(u_j)\|_{E^*} \leq C_1 \quad (3.10)$$

for every $j \in \mathbb{N}$.

We firstly prove that $\{u_j\}_{j \in \mathbb{N}}$ is bounded in E . By (3.1), (3.3), (H2) and the Hölder inequality, we have

$$\begin{aligned} \left(\frac{\mu}{2} - 1\right) \|u_j\|^2 &= \mu I(u_j) - I'(u_j)u_j \\ &+ \int_{\mathbb{R}} (\mu W(t, u_j(t)) - (W_q(t, u_j(t)), u_j(t))) dt \\ &- \left(\frac{\mu}{2} - 1\right) \int_{\mathbb{R}} (Au_j(t), \dot{u}_j(t)) dt \\ &\leq \mu I(u_j) - I'(u_j)u_j + \left(\frac{\mu}{2} - 1\right) \frac{\|A\|}{\sqrt{\beta}} \|u_j\|^2. \end{aligned} \quad (3.11)$$

Combining this inequality with (3.10), we obtain

$$\left(\frac{\mu}{2} - 1\right) \left(1 - \frac{\|A\|}{\sqrt{\beta}}\right) \|u_j\|^2 \leq \mu I(u_j) - I'(u_j)u_j \leq \mu C_1 + C_1 \|u_j\|. \quad (3.12)$$

Since $\mu > 2$ and $\|A\| < \sqrt{\beta}$, the inequality (3.12) shows that $\{u_j\}_{j \in \mathbb{N}}$ is bounded in E . By Lemma 2.1, the sequence $\{u_j\}_{j \in \mathbb{N}}$ has a subsequence, again denoted by $\{u_j\}_{j \in \mathbb{N}}$, and there exists $u \in E$ such that

$$\begin{aligned} u_j &\rightharpoonup u, \quad \text{weakly in } E, \\ u_j &\rightarrow u, \quad \text{strongly in } L^2(\mathbb{R}, \mathbb{R}^n). \end{aligned}$$

Hence

$$(I'(u_j) - I'(u))(u_j - u) \rightarrow 0,$$

and by Lemma 2.2 and the Hölder inequality, we have

$$\int_{\mathbb{R}} (W_q(t, u_j(t)) - W_q(t, u(t)), u_j(t) - u(t)) dt \rightarrow 0,$$

and

$$\left| \int_{\mathbb{R}} (A\dot{u}_j(t) - A\dot{u}(t), u_j(t) - u(t)) dt \right| \leq \|A\| \|\dot{u}_j - \dot{u}\| \|u_j - u\|_2 \rightarrow 0$$

as $j \rightarrow +\infty$. On the other hand, an easy computation shows that

$$\begin{aligned} &(I'(u_j) - I'(u), u_j - u) \\ &= \|u_j - u\|^2 - \int_{\mathbb{R}} (A\dot{u}_j(t) - A\dot{u}(t), u_j(t) - u(t)) dt \\ &\quad - \int_{\mathbb{R}} (W_q(t, u_j(t)) - W_q(t, u(t)), u_j(t) - u(t)) dt. \end{aligned}$$

Consequently, $\|u_j - u\| \rightarrow 0$ as $j \rightarrow +\infty$. \square

Now we can give the proof of Theorem 1.2, we divide the proof into several steps.

Proof of Theorem 1.2.

Step 1 It is clear that $I(0) = 0$ by Remark 1.1 and $I \in C^1(E, \mathbb{R})$ satisfies the (PS) condition by Lemmas 3.1 and 3.2.

Step 2 We now show that there exist constants $\rho > 0$ and $\alpha > 0$ such that I satisfies the condition (A1) of Lemma 2.6. By (1.3), for all $\varepsilon > 0$, there exists $\delta > 0$ such that $W(t, q) \leq \varepsilon|q|^2$ whenever $|q| \leq \delta$. Letting $\rho = \frac{\delta}{C}$ and $\|q\| = \rho$, we have $\|q\|_\infty \leq \delta$, where $C > 0$ is defined in (2.1). Hence $W(t, q(t)) \leq \varepsilon|q(t)|^2$ for all $t \in \mathbb{R}$. Integrating on \mathbb{R} , we get

$$\int_{\mathbb{R}} W(t, q(t)) dt \leq \varepsilon \|q\|_2^2 \leq \frac{\varepsilon}{\beta} \|q\|^2.$$

In consequence, combining this with (3.1), we obtain that, for $\|q\| = \rho$,

$$\begin{aligned} I(q) &= \frac{1}{2} \|q\|^2 + \frac{1}{2} \int_{\mathbb{R}} (Aq(t), \dot{q}(t)) dt - \int_{\mathbb{R}} W(t, q(t)) dt \\ &\geq \frac{1}{2} \|q\|^2 - \frac{1}{2} \frac{\|A\|}{\sqrt{\beta}} \|q\|^2 - \frac{\varepsilon}{\beta} \|q\|^2 \\ &= \left(\frac{1}{2} - \frac{1}{2} \frac{\|A\|}{\sqrt{\beta}} - \frac{\varepsilon}{\beta} \right) \|q\|^2. \end{aligned} \quad (3.13)$$

Setting $\varepsilon = \frac{1}{4\beta} (1 - \frac{\|A\|}{\sqrt{\beta}})$, the inequality (3.13) implies

$$I|_{\partial B_\rho} \geq \frac{1}{4} \left(1 - \frac{\|A\|}{\sqrt{\beta}}\right) \frac{\delta^2}{C^2} = \alpha > 0.$$

Step 3 It remains to prove that there exists $e \in E$ such that $\|e\| > \rho$ and $I(e) \leq 0$, where ρ is defined Step 2. By (3.1), we have, for every $m \in \mathbb{R} \setminus \{0\}$ and $q \in E \setminus \{0\}$,

$$\begin{aligned} I(mq) &= \frac{m^2}{2} \|q\|^2 + \frac{m^2}{2} \int_{\mathbb{R}} (Aq(t), \dot{q}(t)) dt - \int_{\mathbb{R}} W(t, mq(t)) dt \\ &\leq \frac{m^2}{2} \left(1 + \frac{\|A\|}{\sqrt{\beta}}\right) - \int_{\mathbb{R}} W(t, mq(t)) dt. \end{aligned}$$

Take some $Q \in E$ such that $\|Q\| = 1$. Then there exists a subset Ω of positive measure of \mathbb{R} such that $Q(t) \neq 0$ for $t \in \Omega$. Take $m > 0$ such that $m|Q(t)| \geq 1$ for $t \in \Omega$. Then, by (H5) and Remark 2.4, we obtain that

$$I(mQ) \leq \frac{m^2}{2} \left(1 + \frac{\|A\|}{\sqrt{\beta}}\right) - m^\mu \int_{\Omega} \alpha_0(t) |Q(t)|^\mu dt. \quad (3.14)$$

Since $\alpha_0(t) > 0$ and $\mu > 2$, (3.14) implies that $I(mQ) < 0$ for some $m > 0$ such that $m|Q(t)| \geq 1$ for $t \in \Omega$ and $\|mQ\| > \rho$, where ρ is defined in Step 2. By Lemma 2.6, I possesses a critical value $c \geq \alpha > 0$ given by

$$c = \inf_{g \in \Gamma} \max_{s \in [0,1]} I(g(s)),$$

where

$$\Gamma = \{g \in C([0, 1], E) : g(0) = 0, g(1) = e\}.$$

Hence there is $q \in E$ such that $I(q) = c$, $I'(q) = 0$.

Step 4 Now suppose that $W(t, q)$ is even in q ; i.e., (H6) holds, which implies that I is even. Furthermore, we already know that $I(0) = 0$ and $I \in C^1(E, \mathbb{R})$ satisfies the (PS) condition in Step 1.

To apply Lemma 2.7, it suffices to prove that I satisfies the conditions (A3) and (A4) of Lemma 2.7. Here we take $V = \{0\}$ and $X = E$. (A3) is identically the same as in Step 2, so it is already proved. Now we prove that (A4) holds. Let $\tilde{E} \subset E$ be a finite dimensional subspace. From Step 3 we know that, for any $Q \in \tilde{E} \subset E$ such that $\|Q\| = 1$, there is $m_Q > 0$ such that

$$I(mQ) < 0 \quad \text{for every } |m| \geq m_Q > 0.$$

Since $\tilde{E} \subset E$ is a finite dimensional subspace, we can choose an $R = R(\tilde{E}) > 0$ such that

$$I(q) < 0, \quad \forall q \in \tilde{E} \setminus B_R.$$

Hence, by Lemma 2.7, I possesses an unbounded sequence of critical values $\{c_j\}_{j \in \mathbb{N}}$ with $c_j \rightarrow +\infty$. Let q_j be the critical point of I corresponding to c_j , then (1.1) has infinitely many distinct homoclinic solutions.

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